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**Interpreting "I don't know" Use by Persons Living with Dementia in Mini-Mental State
Examinations**

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1 ABSTRACT

2

3 **Objective:** We investigate dementia patients' use of I DON'T KNOW (IDK) in Mini-Mental State Exams
4 (MMSEs) using objective linguistic indicators to differentiate IDK signalling lack of knowledge (LOK)
5 from IDK used to hedge responses, affect exam progression etc. We hypothesize that increased proportional
6 use of LOK-IDK correlates with worsening dementia severity.

7 **Methods:** 189 IDK tokens were extracted from 72 MMSE interactions and coded for linguistic/social
8 characteristics. A data-driven, discourse position/relation-based functional taxonomy for IDK in MMSE
9 was developed and the resulting functional distribution was subjected to multiple logistic regression.

10 **Results:** Use of LOK-IDK (vs. non-LOK-IDK) is significantly correlated ($p=0.01$) with clinicians'
11 subjective ratings of patients' dementia as 'severe' vs. 'mild'/'moderate', indicating that objective
12 sociolinguistic criteria approximate physician judgments. 92% of 'severe' patients' IDKs signalled LOK,
13 compared to only 68% of 'mild' patients', suggesting that uncritical interpretation of IDK as signalling
14 LOK would result in 8-32% of IDK responses being mis-scored.

15 **Conclusion:** LOK and non-LOK uses distinguished on the basis of reliable, objective usage patterns are
16 differentially distributed among dementia severity groups.

17 **Practice implications:** LOK-IDK serves as a supplemental indicator of dementia severity. Correct
18 interpretation may improve diagnostic accuracy and allow clinicians to respond supportively during
19 cognitive assessment.

20

21 **Keywords:** sociolinguistics; discourse-pragmatic; severity; Alzheimer's; cognitive assessment

22

23 1. Introduction

24 Clinicians report uncertainty in differentiating pathological, progressive **cognitive impairment (i.e.**
25 **dementia)** from cognitive decline associated with normal aging, functional memory disorders, and
26 depression [1,2,3]. This lack of confidence may stem from providers' discomfort with explicit cognitive
27 assessment, a potentially embarrassing [2] or outright confrontational [4] process that is face-threatening to
28 patients [5,6] and often exposes stigmatized cognitive deficits [7]. However, few dementia-specific,
29 evidence-based communication curricula exist to assist providers in the negotiation of communicative
30 barriers to productive cognitive assessment [8,9].

31
32 Recent work suggests that subtle differences in the way patients respond to conversational prompts
33 may provide reliable cues to the presence and severity of **cognitive impairment**. Specifically, impaired
34 patients' atypical use of "I don't know"—a multifunctional phrase that can be used to disavow knowledge,
35 signal speaker stance and affect discourse organization [10]— may hint at underlying cognitive
36 dysfunction. Mikesell's [11] conversation analysis of a frontotemporal dementia patient's routine
37 interactions in non-clinical environments contrasts this individual's appropriate and problematic uses of "I
38 don't know" (henceforth IDK) as a response to WH-questions (**i.e., interrogatives constructed with**
39 **"who", "why", "how", etc.**). The problematic uses occur in response to questions that have clearly
40 accessible answers, either based on the subject's current activity (e.g., "What are you reading?"), his close
41 relations (e.g., "What does your daughter do?"), or his prior demonstrations of knowledge. They are
42 inconsistent with the conversational task at-hand and frustrate the natural progression of discourse, similar
43 to resistive IDK uses in child mental health consultations [12,13]. In the context of cognitive impairment,
44 however, Mikesell claims that her subject is unlikely to be intentionally resisting a line of questioning.
45 Based on the frequency of his inappropriate IDK uses and his interlocutors' treatment of these uses (usually
46 involving elaborate repair sequences), she asserts that their occurrence should be taken as evidence of the
47 subject's impairment.

48

49 Elsey and colleagues [14] offer additional support for IDK’s utility in assessing **cognitive**
50 **impairment** through their study of its production in memory clinic interactions in the UK. Focusing on the
51 open-ended assessment portion of the visits, they find that IDK production frequency is significantly higher
52 in those with **cognitive impairment** as compared to those with deficits attributable to functional memory
53 disorders. However, Elsey and co-workers did not undertake a functional analysis of IDK. They treated all
54 IDKs as interchangeable, even though linguists have repeatedly shown IDK to have two macro-roles: 1) as
55 a claim to a cognitive state (= lack of knowledge), and 2) as a non-cognitive interactional device (=
56 resistance strategy, epistemic marker, turn-exchange signal etc.) [15,12,13,16,17]. Furthermore, by
57 excluding the formal assessment segment of the memory clinic visit, they discounted IDK tokens appearing
58 in relatively standardized question sequences such as the Mini-Mental State Examination [MMSE [18]]
59 which is commonly used by primary care providers [19]. Analysis of precisely these IDK instances could
60 be especially informative for practitioners. By providing principled criteria for consistent IDK
61 interpretation, a function-based analysis of IDK use in formal cognitive assessments has the potential to
62 improve reportedly questionable [20] test reliability.

63

64 In order to further assess the diagnostic value of IDK in the context of **cognitive impairment**
65 consultations, we present a quantitative, function-sensitive analysis of patients’ IDK production in MMSEs.
66 In doing so, we provide objective, trainable patterns for IDK interpretation in **cognitive impairment**
67 assessment. Building on Mikesell [11] and Elsey et al. [14], we hypothesize that proportionally increasing
68 use of lack-of-knowledge (LOK) IDK (as opposed to its use as a non-cognitive interactional device, see
69 Section 3.1.2) will correlate with higher **physician-assessed cognitive impairment** severity. Our
70 quantitative evaluation and descriptive elaboration of this relationship will add another tool to the **cognitive**
71 **impairment** assessment repertoire, thus assisting clinicians in the task of recognizing and stratifying
72 **cognitive impairment**.

73

74

75 **2. Methods**

76 **2.1 Sample**

77 We analyzed 72 audio-recorded and transcribed physician-patient interactions selected from a large
78 database of naturally occurring ambulatory care visits: the Verilogue corpus [21]. **Our secondary data**
79 **analysis of the selected Verilogue interactions was exempted from further review as non-human**
80 **research by the Michigan State University Institutional Review Board (IRB# x12-362e/ APP#**
81 **i040882). All US-recorded interactions meeting the following criteria were included in our sample:**
82 1) the physician submitting the recording identified ‘dementia’ as the primary condition being addressed
83 during the visit; 2) the physician assigned a subjectively determined severity level (‘mild’, ‘moderate’, or
84 ‘severe’) to the patient’s **cognitive impairment**; 3) the visit included at least a partial MMSE administration
85 as defined by the use of standardized assessment questions; and 4) the patient produced at least one
86 transcribed instance of “I don’t know” in response to an MMSE question (as determined by an automated
87 search for the string “don’t know” co-occurring in a patient-uttered turn with an overt or implicit “I” subject
88 pronoun). The included interactions were recorded from 2009 to 2013, and submitted by both neurologists
89 and primary care physicians. Patient demographic characteristics for our sample are given in Table 1.
90 Exhaustive manual extraction of all patient-produced IDKs from the MMSEs in these interactions yielded
91 a final set of 189 fully codable IDK tokens. **Though an *a priori* power analysis could not be performed**
92 **for lack of reliable effect size estimates in the literature (i.e., there were no studies reporting**
93 **distributions of IDK across cognitive impairment severity levels), this sample size is comparable to**
94 **those reported in previous quantitative studies of IDK variability [10,22].**

95

96

[TABLE 1]

97

98

99

100

101 2.2 Coding

102 2.2.1 Linguistic coding

103 The two authors, both trained variationist linguists with prior experience in IDK analysis [10,12,22], coded
104 each of the 189 IDK tokens for a series of linguistic factors: 1) phonetic form; 2) boundedness (**i.e.**
105 **association with additional content in the same clause**); 3) prosody (**i.e. stress patterns conveyed by**
106 **emphatic use of volume and vowel quality**); 4) pronoun presence/absence; 5) adverbial modification; and
107 6) discourse function. Codes for 1-5 are given along with illustrative examples in Table 2; discourse
108 function is detailed in Section 3.1. **All 6 of these factors have been shown to affect the distribution of**
109 **IDK in prior work [10].** The first author was responsible for coding factors 1-5. She performed iterative
110 passes through the data focusing on one variable at a time whilst being blinded to her prior codings of other
111 variables. In rare cases of unclear assignment, almost exclusively pertaining to phonetic form, the authors
112 discussed and jointly assigned the token. The use of a single, primary coder can be justified for these
113 variables as the same coders have achieved inter-rater reliabilities in the 87-98% range in prior IDK datasets
114 [22]. In contrast to IDK's linguistic characteristics (1-5), IDK's discourse functionality is known to vary
115 between conversational contexts [22]. Thus, to yield accurate descriptions of impaired patients' IDK usage
116 in MMSEs, a *de-novo* function coding protocol was established by means of an in-depth qualitative analysis
117 (**see Section 2.3**).

118

119 [TABLE 2]

120

121 2.2.2 Social coding

122 Following the **linguistic coding process**, each IDK token was associated with the following social factors
123 provided as meta-data in the Verilogue corpus: patient gender (male vs. female); age category (≤ 74 vs.
124 ≥ 75); and severity of **cognitive impairment** ('mild' vs. 'moderate' vs. 'severe'). Age and gender have been
125 shown to affect IDK distribution in previous research [10]; severity of cognitive impairment served as our
126 key independent variable in the quantitative analysis. **Patient age was given as ≤ 74 vs. ≥ 75 of age in the**

127 **database for privacy reasons and could not be recoded into more granular categories. Individual**
128 **MMSE scores were not provided as meta-data and could not be consistently coded from the**
129 **interactions by the authors due to the use of modified or abbreviated versions of the test. In order to**
130 **test our hypothesis that patients' IDK use changes with disease progression, we therefore had to rely**
131 **on the severity assessments participating physicians provided for patients' cognitive impairment.**
132 **These assessments were provided by physicians unaware that future analyses like ours might rely on**
133 **them for research purposes.**

134

135 **2.3 Qualitative analysis**

136 **IDK's discourse functionality varies across contexts of use [22]. It would thus be inappropriate to**
137 **apply existing functional taxonomies derived from analysis of IDK in contexts other than MMSEs to**
138 **the present dataset. Instead, we undertake a data-driven qualitative analysis to identify the functions**
139 **and contextual patterns associated with cognitively impaired patients' use of IDK in cognitive**
140 **assessments. The purpose of this exercise is two-fold: 1) to allow for the incorporation of discourse**
141 **function into our quantitative analysis; and 2) to provide researchers, health providers and**
142 **caregivers with a detailed taxonomy of IDK use in the specific context of MMSEs.**

143

144 **Our approach to qualitatively analysing IDK function in our data is informed by**
145 **Conversation Analysis [23]. Multiple factors combine to determine the functionality of IDK in interaction:**
146 **discourse context, sequential position, turn position, prosody, intonation (i.e. relative pitch) and**
147 **paralinguistic phenomena. In line with the conversation analysis literature, we prioritise the role of**
148 **sequential and turn position in isolating those IDK tokens that do not signal lack of knowledge, i.e. those**
149 **acting as interactional devices to signal speaker stance or affect discourse progression (henceforth non-**
150 **LOK IDK). We thus interpret IDK function based on patient response structure, information relations**
151 **between utterances [24], and other objectively identifiable turn elements. This contrasts with the heavy**

152 reliance on more subjective factors such as tone of voice, accompanying laughter) which feature strongly
153 **in the qualitative analysis of IDK functionality** in other situational contexts [10,25].

154

155 For this study, the **initial qualitative taxonomy** was developed by the second author, whose
156 doctoral research had focused specifically on IDK function elucidation. The schema was subsequently
157 tested for applicability and consistency by the first author, a doctoral-level linguist and physician. This
158 yielded an inter-rater reliability of 93% and affirmation that the schema was plausibly practicable for
159 clinical use.

160

161 **We report the results of our qualitative analysis in Section 3.1 through the discussion of**
162 **representative examples and characterization of their contextual patterns. Though the primary**
163 **motivation for example selection was to represent clearly identifiable themes in the data, preference**
164 **was given to brief, self-contained excerpts for considerations of space. A concise summary of our**
165 **functional taxonomy is given in Table 3.**

166

167 **2.4 Quantitative analysis**

168 In order to test our hypothesis that **cognitive impairment** severity significantly predicts MMSE IDK
169 function in our dataset **and assess the contribution to IDK function of other relevant contextual factors,**
170 **the following predictors were incorporated into a multiple logistic regression in the R Statistical**
171 **Environment [26]:** phonetic form (**full vs. reduced**), syntactic boundedness (**bound vs. unbound**),
172 prosody (**stressed vs. unstressed**), patient age (**<75 years of age vs. ≥75 years of age**), patient gender
173 (**male vs. female**), and severity of patients' cognitive impairment (**mild vs. moderate vs. severe**).

174 Multiple logistic regression modelling is a preferred technique for the evaluation of correlation-based
175 hypotheses in quantitative variationist analysis [27,28], given that the data representing all potential
176 predictors of the dependent variable (in this case MMSE IDK function) are well-distributed with respect to
177 one another. **To ensure adequate cell sizes for quantitative analysis and identify potential relationships**

178 **between our coded predictors, we performed cross-tabulations of all linguistic, social, and function-**
179 **based codes. This exploratory data analysis** demonstrated that pronoun use and adverbial modification
180 were too poorly distributed to be included in the model, owing to the infrequency of pronoun absence and
181 modification presence. **All other factors had sufficient cell counts and were modelled using treatment**
182 **or sum contrasts as appropriate. Sum contrasts were used to model severity based on the ordinal**
183 **nature of the variable. These potential predictors were tested for their effect on our dependent**
184 **variable, IDK functionality, dichotomized as LOK (see Section 3.1.1) and non-LOK (see Section**
185 **3.1.2).**

186

187 **3. Results**

188 **3.1 Qualitative results**

189 This section introduces the position-based taxonomy for isolating LOK from non-LOK uses of IDK. It
190 differentiates seven sub-types of IDK use in MMSEs which are illustrated with examples reproduced
191 *verbatim* from the Verilogue corpus (see the Appendix for a key to the transcription conventions).

192

193 **3.1.1 LOK uses of IDK**

194 **Cognitively impaired patients'** knowledge disavowal uses of IDK fall into four broad categories,
195 identifiable by their differential positions within **patient** responses and in relation to **physician** questions
196 as well as their subtly differentiated interactional effects. In (1), the **physician** asks the **patient** for the name
197 of his clinic. The **patient** immediately follows the **physician's** question with IDK to signal her knowledge
198 gap and inability to provide the name of the clinic. A **patient** knowledge-gap is also communicated by IDK
199 in (2). Here, however, IDK is preceded by an unfilled pause, suggesting that the **patient** makes an effort to
200 recall the requested information before declining her knowledge of it. As illustrated, LOK IDKs in the
201 position immediately following **physicians'** MMSE questions occur without (1) and with dependent
202 complements (2). We classify both of these LOK IDKs as **isolated response** in our taxonomy, as the IDK-
203 related content (when provided as in (2)) is syntactically dependent on IDK. Unlike our other sub-

204 classifications, in (1) and (2), the **patient**'s preceding and subsequent utterances do not serve to substantiate
205 or otherwise modify the **patient**'s knowledge disavowal.

206

207 (1) DR: Okay. And what's the name of this clinic, more or less.

208 PT: *I don't know*.

209 (Verilogue, 29256, 105-109)

210

211 (2) DR: Alright. So what's today's date.

212 (..)

213 PT: *I don't know* today's date.

214 (Verilogue, 33388, 56-58)

215

216 Example (3) illustrates a different type of IDK-related content: a discourse-coherent string. In this
217 case the **patient**'s turn-initial IDK is associated with a syntactically independent memory account, i.e., a
218 face-saving strategy used by impaired patients to 'explain, justify or excuse memory performance' [6]. IDK
219 signals a knowledge gap, and the following turn elements provide a justification for it: the **patient** does not,
220 as a habit, consult her calendar which is why she is unable to provide the date. The **patient** thereby implies
221 an effect-and-cause discourse coherence relation between IDK and the string *I never look at the calendar*
222 [24]. The memory account thus reinforces the knowledge disavowal meaning of IDK, a relationship that
223 we label as an **account response** in our LOK IDK taxonomy.

224

225 (3) DR: First of all, what's the date today?

226 PT: *I don't know*. (.) <I really don't. I never> look <@ at the calendar.@> @

227 (Verilogue, 50173, 143-144)

228

229 Another syntactically independent, discourse position-based indicator of IDK's knowledge
230 disavowal meaning is the positioning of IDK after previous **patient** declarations of insufficient knowledge.
231 Similarity discourse-coherence relations [24] relate IDK to such declarations in **patients'** multi-unit MMSE
232 response sequences. For example, the **physician** in (4) asks the **patient** to name the three words he had
233 earlier asked her to remember. While the **physician** encourages the **patient** to activate her memory in an
234 attempt to recall the three words, the **patient** repeatedly declares her inability to do so (*My brain is going*
235 *to hell. I can't remember now! I can't remember everything!*). The two knowledge-disavowal tokens of
236 IDK at the end of extract (4) reinforce the **patient's** previously declared inability to provide a list of the
237 three words. We label these lack of knowledge-reinforcing tokens **final response LOK IDKs**.

238

239 (4) DR: Okay. Name those three things.

240 PT: (.h) Oh geez. Oh geez. >My brain is going to hell.<

241 DR: == Take your time. Take your time.

242 PT: (*sulky voice*) I can't remember now!

243 DR: Okay. I pointed to three things. There are two things, and name one other thing.

244 PT: (*sulky, forceful voice*) I can't remember [everything!]

245 DR: [Okay.] Alright. Okay.

246 PT: **I dunno.**

247 DR: == Okay.

248 PT: **I [don't know.]**

249 DR: [That's okay.] That's okay. Alright.

250 (Verilogue, 50357, 129-139)

251

252 Lastly, turn-final IDK functions to signal insufficient knowledge when it follows either a partially
253 correct or aborted patient answer. Here, IDK is related to preceding answer attempts in a violated
254 expectation discourse-coherence relation [24]: patients give the impression that they will be able to give a

255 complete answer to the MMSE question but use IDK to cancel this assumption. In (5), the patient provides
256 two of the three words she had been asked to recall (*Chicago, Cadillac*). Following the continuation signal
257 *and* [29], she says *I dunno* to signal the end of her turn and her inability to provide the third word. In (6),
258 the patient uses IDK after providing a partially correct answer (or guess) (*20 something*) to signal that she
259 is unable to provide a more precise answer than the one already given. In addition to signalling lack of
260 knowledge, these tokens signal patients' desire to terminate the ongoing question sequence. Hence we
261 describe them as **terminating response** LOK IDKs.

262

263 (5) DR: So what were the three words? (..) Remember the three words? What were they?

264 (.)

265 PT: Oh, Chicago and (..) Cadillac and, *I dunno*.

266 (Verilogue, 37107, 91-92)

267

268 (6) DR: And what year is it?

269 PT: 190 (...) No, it's 20 something. *I don't know*.

270 (Verilogue, 33388, 58-59)

271

272 Table 3 summarises our taxonomy of IDK LOK uses in MMSEs. As shown, LOK IDK occurs in
273 distinctive combinations of positions and discourse-coherence relations to convey subtly different
274 interactional effects.

275

276 [TABLE 3]

277

278 **3.1.2 Non-LOK uses of IDK**

279 As is the case with IDK use in other situational contexts (see Section 1), IDK is regularly used in MMSEs
280 for non-cognitive functions. We divide these non-LOK IDK uses into three categories based on their
281 sequential and turn position as well as their interactional effects.

282

283 Unlike the tokens in (1)-(6), IDK in (7) does not signal LOK but serves to qualify the patient's
284 commitment to her MMSE response. Contrast discourse-coherence relations [24] relate the non-LOK IDK
285 token to the patient response, acting as a non-verbalized *but* (e.g., "Oh, I don't know. [but] I guess we're
286 still in {city}.") regardless of the correctness of the patient's answer. Because they serve to hedge patients'
287 MMSE responses, IDK tokens of this kind are termed **hedging use** non-LOK IDK in our taxonomy. They
288 either precede or follow answers to MMSE questions.

289

290 (7) DR: Okay. What city are we in?

291 PT: Oh, *I don't know*. I guess we're still in {city}.

292 (Verilogue 48500, 92-93)

293

294 When IDK tokens occur turn-medially in patient MMSE responses, as in (8), they function to link
295 two parts of a turn that are otherwise unrelated. Crucially, it is the strings surrounding IDK that signal the
296 patient's inability to supply the requested information, not IDK itself. IDK conveys the patient's
297 communicative presence while she is working to recall the information requested by the **physician**. Based
298 on IDK's role as a bridge between otherwise unrelated turn elements, we label these tokens **bridging use**
299 non-LOK IDKs.

300

301 (8) NR: Do you know what day of the week?

302 (...)

303 DR: You know, Monday, Tuesday, Wednesday, Thursday.

304 PT: <@ Yes, I know @ I know what that. @> @ *I don't know* I just go by (.) by what is today?

305 Friday?

306 NR: Today's Tuesday.

307 PT: Today's Tuesday. You see. *I dunno*. I just go by today. Nobody ever asks me what day it is.

308 (Verilogue 28996, 22-28)

309

310 Finally, non-LOK IDKs function to signal patients' *resistance*, rather than *inability*, to answer
311 **physicians'** MMSE questions. In (9), the patient does not use IDK to signal her inability to list animals but
312 her reluctance to engage with the task initiated by the **physician** question. Though *which ones to start with*
313 represents syntactically related IDK content, there is no meaningful discourse coherence relation (only
314 perhaps a semantically bleached *and*) linking IDK to its preceding string *there's so many*. As with the
315 bridging use non-LOK IDK, this IDK use is distinguishable in part by its lack of a characteristic discourse-
316 coherence relation with the surrounding patient talk. It redirects the conversation away from the resisted
317 MMSE question and is thus called **redirecting use** non-LOK IDK in our taxonomy.

318

319 (9) DR: I want you to name as many animals as you can in a minute. [...] You can start.

320 (.)

321 PT: Oh. (.) u:m (..) there's so many <@ different. *I dunno* @> which ones to start with.

322 (Verilogue, 29940, 88-89)

323

324 Table 4 below summarizes our taxonomy of non-LOK uses of IDK in MMSEs. It illustrates how
325 these IDK uses are uniquely identifiable by the combination of their characteristic discourse positions and
326 either the presence or absence of discourse-coherence relations with surrounding patient talk.

327

328

[TABLE 4]

329

330 3.2 Quantitative results

331 The majority (83%, N=156) of our MMSE IDK tokens were identified as performing a LOK function
332 (Isolated response, Account response, Final response, or Terminating response). Our multiple logistic
333 regression analysis predicting LOK (as opposed to non-LOK) IDK functions yielded a single significant
334 predictor: severity (see Table 5). **‘Severe’ cognitive impairment was positively associated with LOK**
335 **IDK use relative to ‘mild’ cognitive impairment (p=0.01). The odds ratio for LOK IDK use given**
336 **‘severe’ cognitive impairment was 2.24, 95% CI=(0.18, 1.44). ‘Moderate’ cognitive impairment did**
337 **not significantly predict LOK IDK production (vs. ‘mild’, p=0.85, OR=0.95, 95% CI=[0.54,1.69]).**
338 **Likewise, phonetic form (p=0.29), syntactic boundedness (p=0.60), prosody (p=0.92), patient age**
339 **(p=0.83), and patient gender (p=0.26) did not demonstrate significant differences in their distribution**
340 **between LOK vs. non-LOK coded tokens. Estimates, standard errors, odds ratios and confidence**
341 **intervals are given for all factors in Table 5.**

342

343 Focusing on severity, MMSE IDK function is notably varied among ‘mildly’ **impaired** patients in
344 particular. As shown in Table 5, only 68% (N=23/34) of the MMSE IDK tokens from ‘mild’ patients were
345 LOK IDK, compared to 92% (N=46/50) of the MMSE IDK tokens produced by ‘severe’ patients. **Based**
346 **on our qualitative analysis, the remaining 32% of the ‘mild’ patients’ MMSE IDKs performed non-**
347 **LOK functions. If all of the MMSE IDK responses considered in this analysis were uncritically**
348 **interpreted as LOK IDK (i.e., as knowledge disavowals) and thus scored as incorrect or non-answers**
349 **during MMSE administrations, 32% (N=11) of IDK responses by the mildly cognitively impaired**
350 **would be mis-scored. Based on our sample, insensitivity to the LOK/non-LOK distinction would be**
351 **proportionately less problematic for ‘moderate’ and ‘severely’ cognitively impaired persons, as only**
352 **17% of the tokens produced by the former and 8% of the tokens produced by the latter were**
353 **identified as non-LOK. However, these groups typically generated more IDKs per MMSE, with**
354 **means of 2.7 IDKs/patient for those labelled ‘moderate’ and 2.8 IDKs/patient for those labelled**

355 'severe', compared to only 1.9 for those labelled 'mild' (see Table 5 for LOK and non-LOK
356 proportions across all tested factors).

357

358 [TABLE 5]

359

360 4. Discussion and conclusion

361 4.1 Discussion

362 The current study provides a mixed-methods analysis of MMSE IDK in **cognitive impairment** interactions,
363 offering a position-based functional taxonomy of IDK uses specific to the setting of cognitive assessment
364 as well as a quantitative sociolinguistic account of the distribution of macro-level IDK functions (LOK vs.
365 non-LOK). This work enhances our understanding of assessment in **cognitive impairment** by
366 demonstrating a relationship between objectively recognizable IDK features and **impairment** severity.
367 Providers may utilize the qualitative and quantitative evaluation of IDK use provided here to increase their
368 awareness of MMSE IDK variation and potentially improve the accuracy of their cognitive assessments,
369 especially in 'mild' **cognitive impairment**.

370

371 Cognitive assessment is a socially complex, interpersonally difficult clinical task for which
372 relatively few primary care providers profess confidence [4,9]. Focusing on a discrete, frequent, and
373 diagnostically significant patient-produced phrasal feature [14]—MMSE IDK—we present a teachable
374 means by which to attenuate the explicit face-threats occurring in memory tests. This has the potential to
375 promote provider-patient rapport as well as provider confidence in the cognitive assessment process. For
376 example, correct interpretation of patients' LOK IDK responses facilitates the coding of an incorrect or
377 non-answer to an MMSE question; confident interpretation of these tokens avoids additional **physician**
378 question reiterations or follow-up probes that may only serve to frustrate or embarrass **cognitively**
379 **impaired** patients. Accurate identification of non-LOK IDK uses is equally necessary for productive,
380 relationship-building dialogue. Patients use non-LOK IDK to buy time for additional consideration of the

381 MMSE question (**bridging use**) or avoid a difficult-to-answer MMSE question (**redirecting use**).
382 Physicians restating the prompt or simply pausing may allow patients to produce a correct answer in these
383 scenarios, thus reinforcing their positive self-identity and giving a more accurate impression of their
384 cognitive functioning.

385

386 Moreover, our quantitative analysis underlines the clinical importance of distinguishing MMSE
387 IDK uses based on function. The graduated difference observed in the proportion of LOK vs. non-LOK
388 MMSE IDK in **cognitive impairment** severity groupings supports previous claims that IDK may be
389 diagnostically useful in disambiguating subtle manifestations of **cognitive impairment** from functional
390 memory disorders, mood disturbances, etc. Critically, our work provides a necessary nuance to Elsey et
391 al.'s (2015) observation that incomplete and IDK-containing patient responses are characteristic of true
392 **cognitive impairment**. We show that it is only the LOK category of MMSE IDK that associates with
393 increasing severity. In fact, our results indicate that between **8% and 32% of MMSE responses**
394 **(depending on the severity of the patient's cognitive impairment)** could be mis-scored if the rater was
395 not sensitive to the multifunctionality of IDK and indiscriminately interpreted all instances of IDK as
396 knowledge disavowals, i.e., verbal manifestations of cognitive malfunctioning. This is consistent with prior
397 criticisms of the MMSE's lack of precision in real-world conditions [20]. Such imprecision could be
398 clinically significant as the indications for some dementia medications are severity-dependent.

399

400 In the MMSE setting, severity is the only significant variable in predicting LOK IDK. This is in
401 stark contrast to other medical and non-medical contexts where phonetic form, speaker age, and other
402 sociolinguistic factors played a role in explaining functional variation [10,12,22]. What is particularly
403 striking is the lack of a relationship between phonetic form and discourse function. In previously examined,
404 non-impaired populations, LOK uses of IDK strongly correlated with phonetically full forms and non-LOK
405 uses with phonetically reduced forms. Additional research is needed in both healthy ageing and
406 pathologically ageing older populations to establish whether the absence of otherwise robust IDK form-

407 function correlations in our MMSE data is indicative of cognitive deterioration, as suggested by our results,
408 or of non-pathologic sociolinguistic change occurring over individuals' lifespans.

409

410 **4.1.1 Limitations**

411 Though our study is the only quantitative study of MMSE IDK function in naturally occurring **cognitive**
412 **impairment** consultations, it nonetheless relies on a relatively small convenience sample of patients. As a
413 result, it could be underpowered to detect correlations in IDK use. Importantly, however, our sample is
414 comparable to or larger than those used in previous IDK work, as described by Pichler [10]. **We did not**
415 **have access to quantitative MMSE scores or exact patient ages. An ideal sample for this analysis**
416 **would include these data and follow patients longitudinally, tracking their MMSE IDK production**
417 **as their cognition deteriorates.** Our cross-sectional findings support future research utilizing multiple data
418 collection time-points; our study design and execution provide a replicable framework for conducting such
419 research.

420

421 **4.2 Conclusion**

422 This combined conversation analytic and quantitative variationist analysis of MMSE IDK production by
423 cognitively impaired individuals in physician-patient visits identifies potentially trainable patterns in IDK
424 use that correlate with physician-assessed dementia severity. It illustrates objectively distinguishable IDK
425 functions derived through a context-specific, data-driven process. Furthermore, it demonstrates that lack of
426 knowledge (LOK) IDK uses (as opposed to non-LOK IDK uses) are associated with more severe clinical
427 manifestations of dementia, and that mildly cognitively impaired individuals in particular produce a
428 characteristically varied mix of IDK functions in their MMSE exams comparable to that of non-impaired
429 adults [22].

430

431

432

433 **4.3 Practice implications**

434 Informed recognition of IDK's macro- and micro-functions encourages neutral or even rapport-building
435 dialogue in the context of explicit memory testing. Providers may use IDK function variability, defined
436 objectively in our position-based MMSE-specific functional schema, as a supportive indicator of dementia
437 severity during these assessments, thus potentially improving their diagnostic accuracy in clinically
438 ambiguous scenarios.

439

440 **APPENDIX**

441

442 **Key to transcription conventions**

443 DR physician speech

444 PT patient speech

445 [] overlap

446 == latching

447 = turn continuation

448 (h) inbreath

449 @ laughter

450 > < reduced tempo

451 CAPITALS louder than surrounding talk

452 underlining emphatic stress

453 :, ::, :::, :::: short, medium, long and very long syllable lengthening

454 (.), (..), (...) short, medium and long pause

455 . final intonation contour

456 , continuing intonation contour

457 ? rising intonation contour

458 (text) uncertain transcription

459 (?) undecipherable words

460 [text] extra-linguistic information

461 [...] text omitted

462 ***bold italics*** used in examples to highlight the feature discussed in the text

463 {text} de-identified information

464

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473

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475

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569

570

571 **TABLES**

572

573 **Table 1.** *Sample demographics at the subject level, total subject N=72.*

574

Parameter	Value	N_{subjects}	Proportion
Gender	Female	47	65.3
	Male	25	34.7
Race	Non-white	13	18.1
	White	59	81.9
Age	55-74 years	20	27.8
	75+ years	52	72.2
Severity level	Mild cognitive impairment	18	25.0
	Moderate cognitive impairment	39	54.2
	Severe cognitive impairment	15	20.8
Home circumstance	Lives alone	11	15.3
	Lives in extended care facility	9	12.5
	Lives with caregivers/ family	52	72.2

575

576

577

578

579 **Table 2.** Linguistic factors coded for the final sample of N=189 codable IDK tokens. Factors above the
580 heavy black line were included in regression modelling (see 3.2); factors below the heavy black line could
581 not be modelled (see 2.3). Function codes are exemplified in Section 3.1 and Tables 3-4.
582

Factor	Codes	Description	Example
Form	Full	Discrete morpho-phonemic boundary between <i>don't</i> and <i>know</i>	<i>I don't know</i>
	Reduced	Reduced vowel in <i>don't</i> and/or no audible morpho-phonemic boundary between <i>don't</i> and <i>know</i>	<i>I dunno</i>
Boundedness	Bound	Followed by a dependent WH-word or a phrasal/clausal complement	<i>I don't know why</i> <i>I don't know what that means</i>
	Unbound	Not followed by overt complementation	<i>I don't know Ø</i>
Prosody	Stressed	Prosodic stress on either <i>don't</i> or <i>know</i>	<i>I <u>don't</u> know</i> <i>I don't <u>know</u></i>
	Unstressed	No prosodic stress on <i>don't</i> or <i>know</i> ; sometimes stress on <i>I</i>	<i>I dunno</i>
Pronoun use	Present	Audible vowel representing <i>I</i> immediately preceding the <i>don't know</i> string	<i>I dunno</i>
	Absent	No audible vowel conceivably representing <i>I</i> immediately preceding the <i>don't know</i> string	<i>Dunno</i>

Modification	Modified	Adverbial modification with <i>just</i> , <i>really</i> , <i>even</i> inside the IDK string	<i>I just don't know</i> <i>I don't really know</i>
	Unmodified	No adverbial modification inside the IDK string	<i>I Ø don't know</i>

583

584

585 **Table 3.** *Position-based typology of LOK IDK uses in MMSEs [DR = clinician; PT = patient; IDK = I*
 586 *DON'T KNOW; *n = variable number of repetitions].*

587

function	position-based distribution	discourse-coherence relation	gloss	example in Section 3.1
Isolated response	DR question + (pause) + PT IDK	(none)	<i>I cannot produce the requested information.</i>	(1), (2)
Account response	DR question + (pause) + PT IDK + PT account	effect and cause	<i>How would I know that?</i>	(3)
Final response	DR question + (pause) + PT IDK (*n) + DR question + (pause) + PT IDK	similarity	<i>I don't know the answer and I mean it.</i>	(4)
Terminating response	DR question + (pause) + PT answer (*n) + (pause) + PT IDK	violated expectation	<i>Please move on.</i>	(5), (6)

588

589

590 **Table 4.** *Position-based typology of non-LOK IDK uses in MMSEs [DR = clinician; PT = patient; IDK =*
 591 *I DON'T KNOW]*
 592

function	position-based distribution	discourse-coherence relation	gloss	example in Section 3.1.2
Hedging use	DR question + (in)correct PT answer + PT IDK OR DR question + PT IDK + (in)correct PT answer	contrast	<i>Don't hold me to this.</i>	(7)
Bridging use	DR question + (correct or incorrect) PT answer + PT IDK + (correct or incorrect) PT answer	(none)	<i>I'm buying time to think.</i>	(8)
Redirecting use	DR question + (PT comment +) PT IDK + PT redirect	(none)	<i>I don't see the relevance of answering this question/completing this task.</i>	(9)

593
 594
 595

596 **Table 5.** Multiple logistic regression model predicting LOK IDK functions based on linguistic and social
 597 predictors [CI= 95% confidence interval; * =statistically significant (alpha of 0.05); LOK= lack of
 598 knowledge].

<i>LOK (vs. non-LOK function)</i>					
grand mean 'LOK'	0.83				
total N	189				
deviance	164.8				
	N _{LOK} /N _{total} (%LOK)	Estimate	Standard error	Odds ratio	CI
Phonetic form					
p=0.29					
Reduced	72/91 (79.1%)	0.44	0.42	1.55	(0.69, 3.56)
Full	84/98 (85.7%)	(reference)			
Prosody					
p=0.92					
Stressed	127/154 (82.4%)	0.06	0.60	1.07	(0.34, 3.69)
Unstressed	29/35 (82.8%)	(reference)			
Syntactic boundedness p=0.60					
Unbound	110/133 (82.7%)	-0.27	0.52	0.76	(0.28, 2.18)
Bound	46/56 (82.1%)	(reference)			
Severity *					
p=0.011					
Severe	46/50 (92.0%)	0.81	0.32	2.24	(1.20, 4.23)
Moderate	87/105 (82.9%)	-0.05	0.29	0.97	(0.54, 1.69)
Mild	23/34 (67.6%)	(reference)			
Gender					
p=0.26					
Male	53/61 (86.9%)	-0.56	0.50	0.57	(0.20, 1.47)
Female	103/128 (80.5%)	(reference)			
Age					
p=0.83					
75 and older	113/137 (82.5%)	-0.10	0.46	0.91	(0.37, 2.32)
74 and younger	43/52 (82.7%)	(reference)			

599