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fNIRS response during walking - artefact or cortical activity? A systematic review

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1 **Abstract**

2 This systematic review aims to (i) evaluate functional near infrared spectroscopy (fNIRS)
3 walking study design in young adults, older adults and people with Parkinson’s disease (PD);
4 (ii) examine signal processing techniques to reduce artefacts and physiological noise in fNIRS
5 data; and (iii) provide evidence-based recommendations for fNIRS walking study design and
6 signal analysis techniques. An electronic search was undertaken. The search request detailed
7 the measurement technique, cohort and walking task. Thirty-one of an initial yield of 73 studies
8 satisfied the criteria. Protocols and methods for removing artefacts and noise varied.
9 Differences in fNIRS signals between studies were found in rest vs. walking, speed of walking,
10 usual vs. complex walking and easy vs. difficult tasks. In conclusion, there are considerable
11 technical and methodological challenges in conducting fNIRS studies during walking which
12 can introduce inconsistencies in study findings. We provide recommendations for the
13 construction of robust methodologies and suggest signal processing techniques implementing
14 a theoretical framework accounting for the physiology of haemodynamic responses.

15 **Keywords:** gait; complex walking; dual task; cortical activation; fNIRS

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20 **1. Introduction**

21 Walking involves dynamic interactions between neuronal structures to co-ordinate the
22 contraction of multiple muscles to successfully navigate complex environments. The ability to
23 cognitively process the surrounding environment and formulate appropriate locomotor plans
24 for navigation can be compromised with ageing and in neurodegenerative disorders such as
25 Parkinson's disease (PD). Studying the changes due to ageing and PD, in which a wide range
26 of progressive walking and cognitive problems are common, may provide insight into the links
27 between cortical activation, cognitive processes and locomotion as well as the potential for
28 the development of new treatments and interventions.

29 Gait impairment occurs early in PD and evidence suggests that cognitive dysfunction has a
30 prominent role in gait deficits (Galna et al., 2015; Lord et al., 2014). More pronounced walking
31 impairments are evident in both older adults and PD during complex walking (Shumway-Cook
32 and Woollacott, 2007; Vitorio et al., 2014). Gait impairments increase the risk of falls (Lord et
33 al., 2016; Montero-Odasso et al., 2012), with negative consequences to quality of life,
34 independence and health care costs (Stevens et al., 2006). Recording cortical activity during
35 the completion of complex tasks is critical to enhance our understanding of the control of
36 human locomotion and also age- and PD-related changes in mobility.

37 Functional magnetic resonance imaging (fMRI) studies using gait imagery, have identified
38 cortical areas associated with control of human locomotion. These include the prefrontal
39 cortex, supplementary motor area, premotor cortex, primary motor cortex, primary
40 somatosensory cortex, and sensorimotor cortex (Bakker et al., 2008; Hamacher et al., 2015;
41 la Fougere et al., 2010). While fMRI is considered the gold standard for imaging of the brain
42 in stationary situations, it has limitations for the study of locomotion as participants cannot
43 actually walk in the MRI scanner. Important aspects related to gait control are therefore absent
44 in fMRI studies, such as muscle activity and sensory input from movement (e.g. optic flow and
45 proprioception).

46 Recent technological advances have enabled monitoring of cortical activity during walking
47 using electroencephalography (EEG), positron-emission-tomography (PET) and functional
48 near infrared spectroscopy (fNIRS) devices (Hamacher et al., 2015). fNIRS provides an
49 indirect method for assessing cortical activity through the haemodynamic response of the brain
50 (Maki et al., 1995) and has been validated against fMRI for motor and cognitive tasks (Cui et
51 al., 2011). The first study reporting using fNIRS technology to examine cortical activity during
52 walking was published 16 years ago (Miyai et al., 2001). Following this seminal paper, the
53 number of published studies investigating cortical activity with fNIRS has increased

54 exponentially. While fNIRS offers many advantages over other methods including ease of
55 equipment set-up and lower costs, the challenge remains of separating the physiological
56 signal representing cortical activity from the noise and artefact components. Systemic
57 physiological changes (heart rate, blood pressure, Mayer waves, respiration, and muscle
58 activity) may be twice as large as those changes arising from the task itself (Boas et al., 2004).
59 Motion and physiological artefacts are particularly problematic during walking and talking
60 activities. Talking results in task related low frequency artefacts with a frequency similar to the
61 haemodynamic response and is more pronounced in anterior channels (prefrontal cortex)
62 (Brigadoi et al., 2014). Currently, there is no standard method for identification and correction
63 of these artefacts in walking studies. Verbal tasks may also result in hypocapnia leading to
64 decreased cerebral blood flow and cerebral oxygenation with a consequential reduction in
65 oxygenated haemoglobin (HbO₂) (Scholkmann et al., 2013). An additional consideration when
66 processing fNIRS signals is the time-lag of 4-7 seconds between cortical activity and the
67 haemodynamic response (Cui et al., 2010; Tong and Frederick, 2010). Further, most fNIRS
68 systems only measure attenuation of light rather than absolute changes in haemoglobin,
69 therefore appropriate baseline measures are required (Izzetoglu et al., 2007; Kassab et al.,
70 2015). Another point to consider is that the depth at which HbO₂ and deoxygenated
71 haemoglobin (HbR) is assessed is dependent on the distance between optodes (Scholkmann
72 and Wolf, 2012). The method used to analyse the fNIRS signal and specifically the differential
73 path length factor (DPF) selected will affect the estimated changes in HbO₂ and HbR
74 (Scholkmann et al., 2014b). The values of DPF are both age and wavelength dependent and
75 display high intersubject variability (Duncan et al., 1996; Duncan et al., 1995). The mean
76 optical path length also varies spatially and increases with depth of the brain surface
77 (Nakamura et al., 2016). Another factor is what outcome measures are selected for statistical
78 analysis, for example HbO₂, HbR, total haemoglobin or a combination. HbO₂ has been
79 reported to be better correlated to the fMRI blood oxygenation level signal and therefore a
80 more accurate indicator of cortical activity (Strangman et al., 2002). A further decision is what
81 measurement value should be used – mean, maximum, minimum, number of peaks or a
82 combination? The mean is considered to be less prone to contamination by artefacts although
83 less sensitive to the physiological signal. However, studies using fNIRS for brain-machine
84 interface have reported inclusion of several measures (mean, maximum, sum of maxima)
85 results in improved identification (Khan and Hong, 2015). Study design, signal processing
86 methods and analysis of fNIRS signals are therefore essential elements in ensuring accurate
87 estimation of the cortical activity from the recorded fNIRS signal.

88 Previous fNIRS reviews have described the history of fNIRS (Ferrari and Quaresima, 2012),
89 modelling and analysis of fNIRS (Kamran et al., 2016; Orihuela-Espina et al., 2010),

90 comparison of patterns of cortical activity using a variety of imaging techniques in walking
91 studies (Hamacher et al., 2015; Holtzer et al., 2014) and methodological approaches in
92 postural and walking studies (Herold et al., 2017). However, no review has assessed different
93 protocols and signal analysis techniques employed in fNIRS walking studies and how these
94 relate to conflicting findings. The aims of this systematic review are to: (i) evaluate fNIRS
95 walking study design in young adults, older adults and people with PD; (ii) examine signal
96 processing techniques to reduce artefacts and physiological noise in fNIRS data; and (iii)
97 provide evidence-based recommendations for fNIRS walking study design and signal analysis
98 techniques.

99 **2. Methods**

100 **2.1. Search strategy**

101 Two of the authors (SS, LA) created a search strategy to identify all potentially relevant studies
102 (Table 1). The search strategy included four fields (connected with “AND”) with independent
103 search terms. Those terms in the same search field were linked with the conjunction “OR”.
104 The first search field focused on the measurement technique of interest to evaluate cortical
105 activity (i.e. fNIRS). There was no restriction regarding the cortical region being assessed.
106 Given the interest of our research group into ageing and PD we limited our search to three
107 populations. The second search field comprised possible synonyms for populations of interest
108 (i.e. young and older adults and people with PD). The third search field included synonyms for
109 usual and complex walking tasks. The fourth search field comprised synonyms for dual
110 (cognitive and/or motor) tasks and included ‘prefrontal’ to catch dual task (cognitive studies)
111 assessing the PFC due to known associations with cognitive functions such as working
112 memory and planning (Yuan and Raz, 2014) . The search terms were matched and exploded
113 with medical subject headings (MeSH) in the separate databases (Embase, Psych-Info,
114 Pubmed and Scopus). Given that fNIRS technology has only been available since the early
115 1990’s (Ferrari and Quaresima, 2012) the search was limited to articles published post 1989
116 (until December 2016).

117 (Insert Table 1 here)

118 Results were downloaded to a citation manager and duplicates were removed. An initial
119 screen was performed by two reviewers (SS, LA) who reviewed the titles and abstracts. A
120 review of the full text was performed if it was not clear whether the study met the eligibility
121 criteria. Additionally sourced articles were acquired by screening reference lists.

122 **2.2. Inclusion and exclusion criteria**

123 Articles were included if they reported the use of fNIRS during an active walking task (e.g.
124 continuous walking, obstacle crossing etc.) with or without performance of a dual task (walking
125 with a secondary concomitant cognitive and/or motor task). Only studies that tested healthy
126 young adults, healthy old adults or people with PD were included (studies involving only obese
127 populations, infants and neurological conditions other than PD were excluded). These groups
128 were included as they are key groups to inform role of cortical control in gait, demonstrating
129 age and disease related compensatory and pathological changes. Articles involving disease-
130 specific groups other than PD were included only when a control group was available which
131 was analysed separately from the clinical group. Only articles written in English were
132 considered for review and any case studies, reviews, book chapters, commentaries,
133 discussion papers, editorials or studies for which the full text was unavailable were excluded.

134 **2.3. Data extraction**

135 Data was extracted by the reviewers (SS, LA, RV) and synthesised into table format and data
136 entry confirmed by another reviewer (AP). Data extracted included authors, year of publication,
137 demographic, walking task protocol, dual task protocol, data outcomes, signal processing
138 techniques, and pertinent findings.

139 **3. Results**

140 **3.1. Study selection**

141 Figure 1 provides a flow chart with information regarding the different phases of the search
142 process. The search strategy yielded 172 studies from publication databases and seven more
143 studies were identified by screening of reference lists (n=179). After removal of duplicates
144 (n=76) and further review of the full text, 31 studies were identified for inclusion by consensus
145 of the reviewers. Reasons for exclusion in this phase included: no gait or dual task (Cutini et
146 al., 2011; Deppermann and Vennewald, 2014; Fujimoto et al., 2014; Holper et al., 2012;
147 Huppert et al., 2013; Karim et al., 2012; Kawai et al., 2012; Lareau et al., 2011; Mahoney et
148 al., 2016; Niu et al., 2013; Ono et al., 2014; Piper et al., 2014; Ruocco et al., 2016; Scholkmann
149 et al., 2014a; Wriessnegger et al., 2012), participants other than healthy young adults, healthy
150 older adults or people with PD (Doi et al., 2013), and a case study (Pinti et al., 2015). Figure
151 2 demonstrates the cumulative frequency of the reviewed studies which have increased
152 exponentially in the last four years.

153

(Insert Figures 1 and 2 here)

154 **3.2. Study design**

155 Information relative to participants, tasks, fNIRS devices and cortical areas assessed by all
156 studies included in this systematic review is presented in Table 2.

157 *3.2.1. Participants:*

158 Sample size varied between 6 and 348 participants. Distinct groups of healthy young adults
159 were assessed in 16 studies (Atsumori et al., 2010; Beurskens et al., 2014; Fraser et al., 2016;
160 Hill et al., 2013; Holtzer et al., 2011; Kim et al., 2016; Koenraadt et al., 2014; Kurz et al., 2012;
161 Lin and Lin, 2016; Lu et al., 2015; Meester et al., 2014; Metzger et al., 2017; Mirelman et al.,
162 2014; Miyai et al., 2001; Suzuki et al., 2008; Suzuki et al., 2004), healthy older adults in 16
163 studies (Beurskens et al., 2014; Clark et al., 2014a; Clark et al., 2014b; Eggenberger et al.,
164 2016; Fraser et al., 2016; Harada et al., 2009; Hernandez et al., 2016; Holtzer et al., 2011;
165 Holtzer et al., 2015; Holtzer et al., 2017a; Holtzer et al., 2016; Holtzer et al., 2017b; Maidan et
166 al., 2015; Maidan et al., 2016; Osofundiya et al., 2016; Verghese et al., 2017) and people with
167 PD in three studies (Maidan et al., 2015; Maidan et al., 2016; Nieuwhof et al., 2016). Three
168 studies investigated the effects of ageing (young vs. old) on cortical activity during walking
169 (Beurskens et al., 2014; Fraser et al., 2016; Holtzer et al., 2011). Two studies investigated the
170 effects of PD by comparing healthy older adults and people with PD (Maidan et al., 2015;
171 Maidan et al., 2016) (Table 2).

172 *3.2.2. Walking protocol:*

173 Cortical activity during walking was assessed on a treadmill in 14 studies (Beurskens et al.,
174 2014; Clark et al., 2014a; Eggenberger et al., 2016; Fraser et al., 2016; Harada et al., 2009;
175 Kim et al., 2016; Koenraadt et al., 2014; Kurz et al., 2012; Meester et al., 2014; Metzger et al.,
176 2017; Mihara et al., 2007; Miyai et al., 2001; Suzuki et al., 2008; Suzuki et al., 2004) and over-
177 ground in 18 studies (Atsumori et al., 2010; Clark et al., 2014a; Clark et al., 2014b; Hernandez
178 et al., 2016; Hill et al., 2013; Holtzer et al., 2011; Holtzer et al., 2015; Holtzer et al., 2017a;
179 Holtzer et al., 2016; Holtzer et al., 2017b; Lin and Lin, 2016; Lu et al., 2015; Maidan et al.,
180 2015; Maidan et al., 2016; Mirelman et al., 2014; Nieuwhof et al., 2016; Osofundiya et al.,
181 2016; Verghese et al., 2017). Only one study compared cortical activity between treadmill and
182 over-ground walking (Clark et al., 2014a). Four studies investigated the effect of treadmill
183 speed on cortical activity (Harada et al., 2009; Meester et al., 2014; Metzger et al., 2017;
184 Suzuki et al., 2004). Usual walking was assessed in 29 of 31 studies (only Atsumori et al.
185 (2010) and Nieuwhof et al. (2016) compared different dual tasks), motor dual task in four

186 studies (Atsumori et al., 2010; Beurskens et al., 2014; Clark et al., 2014a; Lu et al., 2015),
187 cognitive dual task in 20 studies (Beurskens et al., 2014; Clark et al., 2014a; Clark et al.,
188 2014b; Fraser et al., 2016; Hernandez et al., 2016; Hill et al., 2013; Holtzer et al., 2011; Holtzer
189 et al., 2015; Holtzer et al., 2017a; Holtzer et al., 2016; Holtzer et al., 2017b; Lin and Lin, 2016;
190 Lu et al., 2015; Maidan et al., 2016; Meester et al., 2014; Metzger et al., 2017; Mirelman et al.,
191 2014; Nieuwhof et al., 2016; Osofundiya et al., 2016; Verghese et al., 2017), obstacle
192 avoidance in three studies (Clark et al., 2014b; Lin and Lin, 2016; Maidan et al., 2016), and
193 precision stepping in two studies (Koenraadt et al., 2014; Osofundiya et al., 2016). All the
194 cognitive dual tasks involved vocalisation, except for Lin et al. (2016) whose dual task involved
195 using a mobile phone and Beurskens et al. (2014) who asked participants to tick boxes on a
196 piece of paper. Twenty-one studies compared cortical activity between usual and dual task
197 walking; the other ten studies reported either usual walking relative to a rest condition or
198 compared different types of dual-task walking. The studies reviewed employed a variety of
199 designs including different trial durations (from 20 to 120 seconds), distance covered during
200 walking trials (from 4.6 to 100 m), and number of trial repetitions performed (from two to 15
201 repetitions) (Table 3). Four studies investigating overground walking assessed straight walks
202 less than 8m long (Hill et al., 2013; Holtzer et al., 2011; Holtzer et al., 2015; Holtzer et al.,
203 2017b).

204 3.2.3 *fNIRS devices and cortical areas assessed:*

205 Fourteen different types of fNIRS devices were used, including two custom built systems
206 (Atsumori et al., 2010; Holtzer et al., 2011) and 12 commercial systems. Twenty-five devices
207 were tethered and six were wireless. The devices were all based on continuous wave
208 technology with both light emitting diodes and laser diodes (von Luhmann, 2014). Sampling
209 frequency varied from 1 to 50 Hz and number of channels recorded from 2 to 48 (Table 2).
210 The prefrontal cortex was assessed in twenty-nine studies (only Kurz et al. (2012) and Miyai
211 et al. (2001) did not record prefrontal cortex activation), supplementary motor area in nine
212 studies (Harada et al., 2009; Kim et al., 2016; Koenraadt et al., 2014; Kurz et al., 2012; Lu et
213 al., 2015; Metzger et al., 2017; Mihara et al., 2007; Miyai et al., 2001; Suzuki et al., 2008),
214 premotor cortex in seven studies (Harada et al., 2009; Kim et al., 2016; Lu et al., 2015; Metzger
215 et al., 2017; Miyai et al., 2001; Suzuki et al., 2008; Suzuki et al., 2004), sensorimotor cortex in
216 nine studies (Harada et al., 2009; Kim et al., 2016; Koenraadt et al., 2014; Kurz et al., 2012;
217 Metzger et al., 2017; Mihara et al., 2007; Miyai et al., 2001; Suzuki et al., 2008; Suzuki et al.,
218 2004), and superior parietal cortex in two studies (Kurz et al., 2012; Miyai et al., 2001). Overall,
219 ten studies reported the activation of multiple cortical areas and twenty-one studies reported
220 the activation of prefrontal cortex only.

221

(Insert Table 2 here)

222 3.2.4. Signal processing

223 A review of fNIRS signal processing techniques is summarised in Table 3. Most studies
224 processed the fNIRS signal over the entire task duration. Only four studies described
225 accounting for the temporal delay of 4–7s between the task and haemodynamic systemic
226 response by excluding the initial period (Atsumori et al., 2010; Lu et al., 2015; Meester et al.,
227 2014; Mihara et al., 2008).

228 Sixteen studies applied low pass filters with defined thresholds to remove high frequency
229 components (Hernandez et al., 2016; Holtzer et al., 2011; Holtzer et al., 2015; Holtzer et al.,
230 2017a; Holtzer et al., 2016; Holtzer et al., 2017b; Kim et al., 2016; Koenraadt et al., 2014; Lin
231 and Lin, 2016; Lu et al., 2015; Maidan et al., 2015; Meester et al., 2014; Metzger et al., 2017;
232 Mirelman et al., 2014; Nieuwhof et al., 2016; Verghese et al., 2017). Cut-off frequencies
233 ranged from 0.1Hz to 0.2Hz with the exception of two studies that selected cut-off frequencies
234 ranging from 0.25-1.25Hz (Koenraadt et al., 2014; Meester et al., 2014). Beurskens et al.
235 (2014) applied a pre-colouring filter using the haemodynamic response function, which acts
236 as a low pass filter (Beurskens et al., 2014). Low frequency components were removed with
237 a high pass filter (threshold of 0.01 Hz) in four studies (Koenraadt et al., 2014; Kurz et al.,
238 2012; Lu et al., 2015; Maidan et al., 2016). Motion artefacts were generally identified by visual
239 inspection of the signal (Beurskens et al., 2014; Eggenberger et al., 2016; Hernandez et al.,
240 2016; Holtzer et al., 2015; Holtzer et al., 2016; Meester et al., 2014) and / or applying motion
241 artefact rejection algorithms (Beurskens et al., 2014; Hill et al., 2013; Lu et al., 2015; Nieuwhof
242 et al., 2016). Signals containing motion artefacts were either removed or modified. Modification
243 involved applying a spline interpolation algorithm (Beurskens et al., 2014; Nieuwhof et al.,
244 2016) within the movement artefact reduction algorithm (MARA) (Scholkmann et al., 2010) or
245 using wavelet filtering and correlation-based signal improvement (Maidan et al., 2016; Metzger
246 et al., 2017). Methods other than filtering to remove systemic artefacts included wavelet-
247 minimum description length de-trending algorithm (Beurskens et al., 2014; Kim et al., 2016)
248 or using short separation channels as reference channels (Koenraadt et al., 2014). The latter
249 study also recorded blood pressure through a finger cuff to account for systemic oxygenation
250 changes (Koenraadt et al., 2014). Signal drift (along with environmental and equipment noise)
251 was removed through principal component analysis (PCA) or independent component
252 analysis (ICA) in three studies (Holtzer et al., 2011; Kurz et al., 2012; Lu et al., 2015). Atsumori
253 et al. (2010) removed baseline drift through applying a linear trend with regression by least
254 squares to adjust the HbO₂ signals. Eggenberger et al. (2016) detrended signals using the
255 60s moving average value.

256 3.2.5. *Interoptode distance*

257 The interoptode distances selected to measure haemoglobin ranged from a minimum 22mm
258 (Beurskens et al., 2014) to a maximum 40mm (Lin and Lin, 2016; Maidan et al., 2016;
259 Nieuwhof et al., 2016). The mode was 30mm, applied by sixteen of the twenty six reviewed
260 studies which reported interoptode distances. Two studies used the Artinis Portalite system
261 which has three different interoptode distances of 30mm, 35mm and 40mm ((Maidan et al.,
262 2016; Nieuwhof et al., 2016).

263 3.2.6 *Determination of HbO₂ and HbR*

264 All the fifteen studies that described the algorithm to determine HbO₂ and HbR applied the
265 Beer-Lambert Law. However, only two studies specified the DPF used in the algorithm
266 (Koenraadt et al., 2014; Nieuwhof et al., 2016). Koenraadt et al. (2014) selected the values
267 experimentally determined by Duncan et al. (1996) whereas Nieuwhof et al. (2016) applied a
268 DPF of 6 to all participants and regions. A third study reported that they assumed the optical
269 path length was equal for all wavelengths and constants but did not state what values they
270 applied (Atsumori et al., 2010).

271 3.2.7 *Outcome measures*

272 Twenty of the reviewed studies analysed only HbO₂, eight analysed both HbO₂ and HbR
273 haemoglobin (Atsumori et al., 2010; Beurskens et al., 2014; Koenraadt et al., 2014; Meester
274 et al., 2014; Metzger et al., 2017; Miyai et al., 2001; Nieuwhof et al., 2016; Suzuki et al., 2008),
275 two analysed total haemoglobin (Clark et al., 2014a; Clark et al., 2014b) and one study
276 assessed the difference between HbO₂ and HbR (Lu et al., 2015). Twenty six of the reviewed
277 studies analysed the mean of their selected parameters, two studies selected the maximum
278 and minimum (Kurz et al., 2012; Metzger et al., 2017), two studies only the maximum (Holtzer
279 et al., 2011; Osofundiya et al., 2016) and one study analysed the mean, 10th and 90th percentile
280 and the range (Lin and Lin, 2016). Nine studies averaged channels or regions of interest
281 across hemispheres (Clark et al., 2014a; Holtzer et al., 2017a; Holtzer et al., 2016; Holtzer et
282 al., 2017b; Koenraadt et al., 2014; Kurz et al., 2012; Maidan et al., 2015; Mirelman et al., 2014;
283 Verghese et al., 2017).

284

285

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(Insert Table 3 here)

289

3.3. fNIRS signal during walking

290 This review is focused on the methods (protocol and signal processing) and aims to identify if
291 differences in study findings can be attributed to methodological variations. The key findings
292 are reported in Table 2. As the majority of reviewed papers analysed HbO₂ as the outcome
293 measure, further reference to the fNIRS signal relates to HbO₂ unless stated otherwise. Most
294 studies reported an increase in fNIRS activity during usual walking compared to a resting
295 condition in the prefrontal cortex, premotor cortex, supplementary motor area, primary motor
296 cortex and primary somatosensory cortex (Harada et al., 2009; Holtzer et al., 2011; Holtzer
297 et al., 2015; Meester et al., 2014; Mihara et al., 2007; Suzuki et al., 2008; Suzuki et al., 2004).
298 However, Lu et al. (2015) who analysed the difference between HbO₂ and HbR and Mirelman
299 (2014) found no change in the prefrontal fNIRS signal; Koenraadt et al. (2014) found
300 decreased fNIRS activity in the supplementary motor area and no change in the prefrontal,
301 primary motor and primary somatosensory cortices. Clark et al. (2014), analysing total
302 haemoglobin, reported greater prefrontal fNIRS signals during treadmill walking compared to
303 overground walking in 14 older adults with mobility deficits. Three of the four studies observed
304 an increase in the fNIRS signal as walking speed increased (Harada et al., 2009; Metzger et
305 al., 2017; Suzuki et al., 2004) whereas Meester et al. (2014) reported no change. Walking
306 modality (treadmill vs. overground) influenced prefrontal activity as assessed by total
307 haemoglobin levels, such that with a dual task no change was observed when walking on a
308 treadmill compared to an increase during overground walking (Clark et al., 2014a).

309 Compared to usual walking, dual task walking was mainly associated with an increased
310 prefrontal fNIRS signal in both young and old (Clark et al., 2014a; Clark et al., 2014b; Hill et
311 al., 2013; Holtzer et al., 2011; Holtzer et al., 2015; Holtzer et al., 2016; Koenraadt et al., 2014;
312 Lu et al., 2015; Maidan et al., 2016; Meester et al., 2014; Mirelman et al., 2014). However, Lin
313 and Lin (2016) observed decreased activity in the prefrontal signal during dual task walking in
314 young adults. The two studies that evaluated cortical regions other than the prefrontal cortex
315 during dual tasking walking reported conflicting results in young adults. While Lu et al. (2015)
316 observed increased fNIRS activity (haemoglobin difference) in the premotor cortex and
317 supplementary motor area, Metzger et al. (2017) observed no change in these regions and
318 the primary motor and somatosensory cortices. The three studies that evaluated the effect of
319 age reported contradictory results. Beurskens et al. (2014) found no change in prefrontal
320 fNIRS signal during dual task walking in young adults and a decrease in older adults whereas

321 Holtzer et al. (2011) observed greater activity for both groups during dual task walking with
322 young adults displaying a larger dual task related increase. Fraser et al. (2016), by contrast,
323 reported more activity for both young and older adults for dual task walking, with no difference
324 between groups. The two reviewed studies from the same research group, that investigated
325 the effects of PD on cortical activation during dual task walking observed altered prefrontal
326 fNIRS signals in people with PD compared to older adults (Maidan et al., 2015; Maidan et al.,
327 2016).

328

329 **4. Discussion**

330 This review examined 31 studies that assessed cortical activity using fNIRS during walking in
331 healthy young adults, healthy older adults and people with PD. This review explicitly targeted:
332 (i) the study design, (ii) signal processing techniques and analysis and; (iii) differences
333 between studies reported in fNIRS signals activity during walking. In summary, most studies
334 involved small sample sizes (15 studies with $n < 15$) which makes the results difficult to
335 generalise. Studies used a wide range of fNIRS systems, both tethered and wireless, and
336 various protocol designs making comparisons challenging. Several studies analysed walking
337 over short durations or distances ($< 6s$ or $8-10m$) which may influence accuracy when
338 considering haemodynamic response time. Signal processing methods for removing noise
339 and artefacts were varied, often inadequately described and not related to specific tasks. Only
340 two studies described the DPF used for determining haemoglobin levels. A recent review has
341 also reported a lack of specific DPF values reported for walking studies (Herold et al., 2017).
342 The majority of studies analysed the change in mean HbO_2 . Discrepancies between study
343 findings may arise from differing study designs or processing techniques. We have structured
344 our discussion accordingly to integrate technical and methodological challenges with primary
345 research questions.

346 **4.1 Cortical activity**

347 *4.1.1 Usual walking*

348 The majority of studies reported an increase in the fNIRS signal during walking compared to
349 a resting condition (Section 3.3). However, the action of walking per se will increase motion
350 artefact as a result of the head movements present in walking causing decoupling between
351 the optodes and scalp (Brigadoi et al., 2014; Chiarelli et al., 2015; Yamada et al., 2015). A
352 recent EEG study demonstrated that motion artefact increases with walking speed and

353 channels are differentially affected (Kline et al., 2015). Additionally, different inertial properties
354 of fNIRS systems may further modify the motion artefact. Two of the studies that reported no
355 change in the fNIRS signal used wireless systems (Lu et al., 2015; Mirelman et al., 2014),
356 which generate a smaller artefact due to the lower weight of cables pulling on the cap or
357 headband. Koenraadt et al. (2014), using a tethered system, also reported differing results to
358 the majority of the reviewed studies. A possible explanation for this specific case is that
359 Koenraadt's study was the only one that used short separation channels to correct the fNIRS
360 signal for haemodynamic changes in superficial tissue layers. Three of the four studies that
361 assessed the effect of walking speed reported increased fNIRS activity (Harada et al., 2009;
362 Metzger et al., 2017; Suzuki et al., 2004). Meester et al. (2014), who observed no change in
363 fNIRS signal with speed, used an 8 channel system which will generate a smaller motion
364 artefact due to its lower inertia in contrast to the 42-48 channel systems used in the three other
365 studies.

366 Larger fNIRS signals have been recorded from the prefrontal cortex during treadmill walking
367 compared to over-ground walking (Clark et al., 2014a). One explanation is the greater
368 metabolic cost incurred (Parvataneni et al., 2009; Riley et al., 2007). Two overground studies
369 (Holtzer et al., 2011; Holtzer et al., 2015) that reported increased prefrontal activity during
370 walking relative to rest analysed short segments of data, approximately 4-5s long. Under these
371 conditions the fNIRS signal could also reflect the planning required for (e.g. upcoming turning
372 or stop) as well as straight walking, accounting in part for the greater activity observed.

373 Methods to remove motion artefact and systemic noise were varied which will modify the
374 processed fNIRS signal's characteristics. Although Holtzer et al. (2011) and Lu et al. (2015)
375 used ICA / PCA, the latter additionally removed channels with a coefficient of variation greater
376 than 15% and applied spike rejection to the remaining channels. This could further explain
377 why Holtzer et al. (2011) reported greater fNIRS signals during usual walking compared with
378 resting whereas Lu et al. (2015) observed no change across the two conditions. Another
379 explanatory reason could be that Holtzer et al. (2011) used the maximum change in HbO₂
380 which might include more artefacts compared to the mean value due to the larger amount of
381 noise present in the peaks. Lu et al. (2015) by contrast analysed the mean difference between
382 HbO₂ and HbR.

383 *4.1.2 Complex walking*

384 Complex walking, including obstacle avoidance and precision stepping, and dual task walking
385 were mainly associated with increased fNIRS activity in the prefrontal cortex relative to usual
386 walking with two exceptions (Beurskens et al., 2014; Lin and Lin, 2016) (Table 2). Many of the

387 cognitive tasks required the participant to respond verbally, which creates motion artefact. In
388 contrast, Beurskens et al. (2014) and Lin and Lin (2016) used nonverbal tasks which may
389 account for their observation of no signal change. Motion artefacts arising from cognitive
390 linguistic tasks are challenging as they have similar frequencies and amplitudes to the
391 haemodynamic responses (Brigadoi et al., 2014). Wavelet filtering is optimal for removing
392 motion artefacts arising from linguistic tasks (Brigadoi et al., 2014). However, of the 12 studies
393 that used a linguistic task, only one applied wavelet filters to remove artefacts (Maidan et al.,
394 2016). A further consideration during dual task walking is the stress evoked by the walking
395 task which may increase superficial blood flow, via increased autonomic nervous system
396 activity, thereby exaggerating the task effect (Kirilina et al., 2012). This is a particular issue
397 when recording fNIRS from the prefrontal cortex due to greater changes in blood flow to the
398 forehead compared with other cranial regions (Kirilina et al., 2012; Takahashi et al., 2011).
399 Only one study accounted for changes in superficial scalp blood flow externally by using short
400 separation channels in addition to a finger cuff to measure heart rate and systemic blood
401 pressure changes (Koenraadt et al., 2014). This study found increased prefrontal fNIRS signal
402 during precision stepping with no change in the sensorimotor cortex.

403 Two studies implemented verbal tasks of various difficulties (Hill et al., 2013; Mirelman et al.,
404 2014) and observed increased prefrontal fNIRS activity with increased task difficulty. Hill et al.
405 (2013) compared serially counting backward by 1 with serially counting backward by 7
406 whereas Mirelman et al. (2014) compared counting forward with serially counting backward
407 by 7. Introducing different levels of task difficulty for a similar task enables a more robust
408 assessment of cortical activity as the verbal motion artefact will be common to all graded tasks.
409 Any significant change in the fNIRS signal observed between graded tasks is therefore more
410 likely to be due to changes in cortical activity lending greater confidence in findings.

411 *4.1.3 Influence of Ageing and PD*

412 Discrepancies were found between studies investigating the influence of age. Beurskens et
413 al. (2014) recorded decreased prefrontal fNIRS signals in older adults during dual task
414 walking. By contrast, Holtzer et al. (2011) and Fraser et al. (2016) found a comparable
415 increase in prefrontal fNIRS signal from usual to dual task walking in young and older adults.
416 Differences may firstly be accounted for by the different tasks performed. Beursken et al.'s
417 (2014) task was non-verbal which introduces less artefact than a verbal task. Different
418 processing methods were involved with Beursken et al. (2014) applying moving standard
419 deviation and a precolouring filter whereas Holtzer et al (2011) used a low pass filter and ICA
420 / PCA. No processing method was reported by Fraser et al. (2016). Additionally, Beursken et
421 al. (2014) used small interoptode distances of 22mm and 25mm, resulting in cortical tissue at

422 an approximate depth of 11mm-12.5mm being probed (Scholkmann and Wolf, 2012). There
423 may have been insufficient penetration of infra-red to sufficiently assess haemoglobin changes
424 as the depth of the frontal cortex has been reported as 9.7mm– 17.1mm with the superficial
425 10% of cortex having low vasculature density (Lauwers et al., 2008; Stokes et al., 2005). Brain
426 morphology and cortical thickness change with age, which may further confound fNIRS
427 findings between age groups (Provencher et al., 2016). Another consideration is the Beursken
428 et al. (2014) and Fraser et al. (2016) studies involved treadmill walking whereas Holtzer et al.
429 (2016) examined overground walking. Finally, the number of older adults included in the three
430 studies were small, numbering 10 (Beurskens et al., 2014), 14 (Fraser et al., 2016) and 11
431 (Holtzer et al., 2011), which may explain the diverse findings given the heterogeneity of older
432 adults. No firm conclusion can be drawn regarding age-related changes in prefrontal cortical
433 activity as too few studies have been undertaken with differing protocols and processing
434 methods.

435 Three reviewed studies investigated fNIRS during walking in people with PD. One study
436 reported higher prefrontal fNIRS signals when compared with older adults during usual walking
437 but no change during cognitive dual task walking (Maidan et al., 2016). However, a greater
438 prefrontal fNIRS signal was measured during obstacle negotiation (Maidan et al., 2016).
439 Nieuwhof et al. (2016) reported no difference between three different tasks which may be the
440 result of investigating a small sample of people with PD (n=12) who exhibited very variable
441 prefrontal activity.

442 One consideration when comparing different groups is how changes in cerebral properties will
443 affect light propagation by altering absorption coefficients and DPF. Age-related cerebral
444 changes including brain atrophy, small vessel disease, white matter hyperintensities, cerebral
445 infarcts, Lewy bodies, neuritic plaques and neurofibrillary tangles (Rosso et al., 2013) will
446 modify the DPF (Scholkmann and Wolf, 2013). Studies report an increase in DPF with age,
447 although there are large individual differences (Duncan et al., 1996); (Scholkmann and Wolf,
448 2013). DPF values have however only been determined up to the age of 50, when only small
449 changes in cerebral structure have developed (Duncan et al., 1996). None of the sixteen
450 reviewed studies investigating the effect of ageing on fNIRS activity reported the DPF values
451 used. The depth of the cortex will also affect the DPF, with considerable intersubject variability
452 and differences between scalp locations having been reported (Nakamura et al., 2016). The
453 effect of age related cortical thinning and grey matter atrophy may increase the cortical depth
454 in addition to increasing the amount of cerebrospinal fluid in the subarachnoid space which
455 would affect light attenuation (Purdon et al., 2015). Changes in cortical microstructure in
456 individuals with PD have been recorded which may modify light propagation (Nürnberg et

457 al., 2017). Currently, no adjustments are made for pathological changes when determining
458 light intensity therefore caution must be applied when comparing results between and within
459 groups.

460 **4.2 Additional considerations**

461 The present review is limited to the information provided within the reviewed studies.
462 However, additional factors that are pertinent include duration of the experiment, perspiration,
463 pain from donning the fNIRS band or cap and hair (Kassab et al., 2015). Long experiments
464 will increase both perspiration and pain. Perspiration may affect optode stability causing
465 increased optode displacement and changes in orientation, adversely affecting the signal.
466 Long periods of wearing the headband or cap may also result in external compression
467 headache (Krymchantowski, 2010) and associated vasodilation, increased perspiration and
468 possible oedema thereby further contaminating the signal (Schlereth and Birklein, 2008). The
469 presence and type of hair (colour, thickness, density) underlying optodes can generate large
470 motion artefacts through reflection of light and increased decoupling of the optodes from the
471 scalp (Pringle et al., 1999; Yamada et al., 2015).

472 **4.3 Recommendations**

473 To date, studies using fNIRS have started to add interesting insights into the cortical control
474 of walking, revealing important age and pathological changes that could inform future
475 interventions. These studies also however, highlight the considerable technical and
476 methodological challenges facing researchers in this field. Therefore, considering the
477 evidence gathered from the reviewed studies, we make the following recommendations for
478 future studies of cortical activity during gait:

479 Study design and operationalisation

- 480 • Sample sizes should be sufficiently large and justified by power analyses based on
481 outcome measures recorded in pilot studies for a specific study protocol.
- 482 • Employ standardised block design protocols to control for time dependent effects
483 relating to stimulus/experimental manipulation (for example asking a participant to walk
484 for a set period rather than a given distance). This reduces need for retrospective
485 signal processing (e.g. to normalise the signal length) avoiding signal distortion.
- 486 • Allow for the 4-7 second delay in haemodynamic peak response by including
487 sufficiently long walks (/periods of exposure).
- 488 • Develop cognitive tasks with levels of difficulty that introduce minimal artefact (constant
489 across levels of difficulty).

- 490 • Monitor systemic haemodynamics and incorporate short separation channels in
491 optode arrangements to measure changes in scalp vasculature.
- 492 • Interoptode separation should be sufficiently large to adequately assess cortical
493 bloodflow, with a minimum distance of 30mm (Herold et al., 2017).
- 494 • Duration of the experiment and discomfort reported by the patient should be recorded.

495 Signal processing analysis

- 496 • Perform baseline correction trials before every trial to account for time-dependent
497 changes in cerebral oxygenation.
- 498 • Report all steps of signal processing, including filtering and noise removal, and study
499 specific processing or analysis.
- 500 • Signal processing techniques should be tailored according to the design protocol
501 focussing on the nature of motion artefact present with regard to verbal and/or walking
502 components with wavelet filtering applied to verbal task fNIRS signals (Brigadoi et al.,
503 2014; Cooper et al., 2012).
- 504 • Adjust for baseline walking velocity in the analysis as a confounding factor.
- 505 • Algorithms to determine haemoglobin concentration levels should be described and
506 the DPF values provided.
- 507 • Future studies should aim to determine the effect of age related cerebral changes on
508 DPF factor.
- 509 • Further work is required to fully appreciate motion artefact. Suggested studies include:
510 using a phantom head to model the motion artefact in fNIRS; understanding the
511 interaction between walking speed and motion artefact and how artefact is affected by
512 wireless vs. tethered systems.

513

514 **5. Conclusions**

515 The 31 reviewed studies used a wide range of protocols and signal processing techniques
516 which makes comparisons between studies difficult. Many studies involved small sample sizes
517 which were not justified by power analysis. Length of data was often short and studies
518 generally did not account for the haemodynamic response time-lag. Although most
519 investigations concluded that there was greater cortical activity during usual walking, it is
520 difficult to exclude confounding due to motion artefacts. Similarly, cognitive tasks which
521 showed increased activity, mostly involved the subject vocalising during the task which
522 introduces further artefact. Few studies applied wavelet filtering or used different levels of the
523 cognitive task, which would add weight to the argument that increase in fNIRS activity is due

524 to increased cortical activity rather than increased artefact. In summary, careful reporting of
525 study methodologies will enhance reliability and confidence in the use of fNIRS to study
526 cortical activity during walking.

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535 **Conflicts of Interest**

536 No conflicts of interest are declared.

537

538 **References**

539

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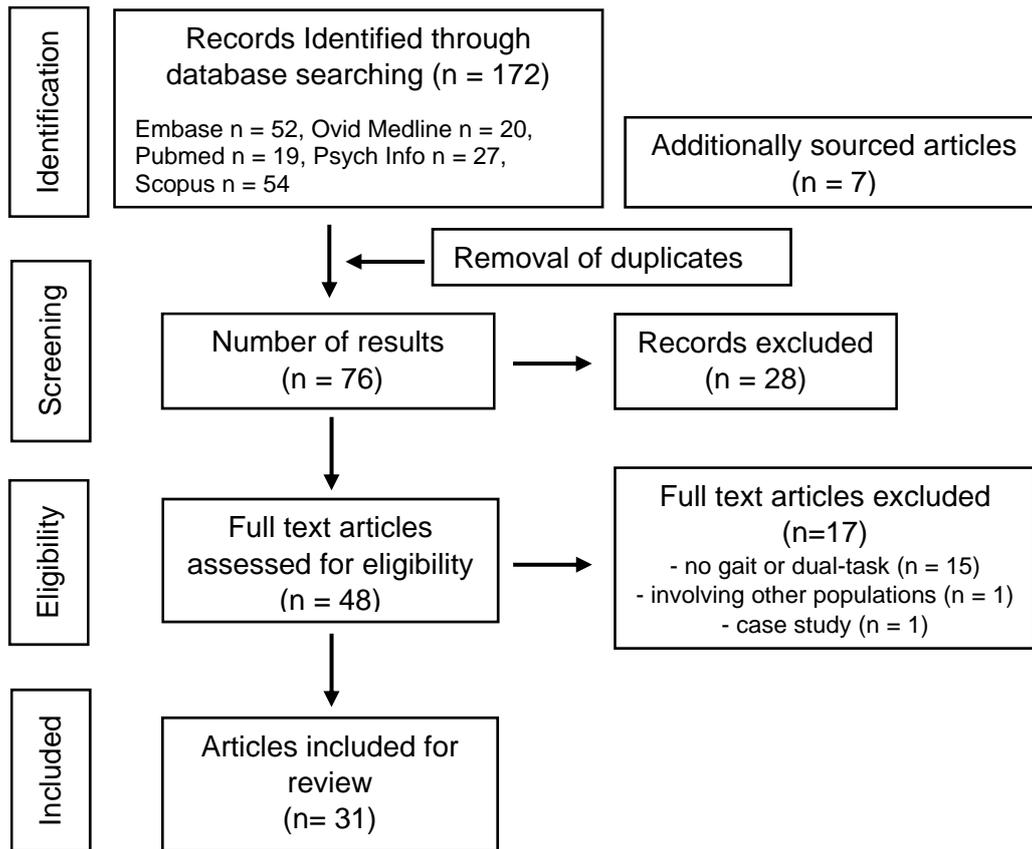


Figure 1. Flow chart with information through the different phases of the search process.

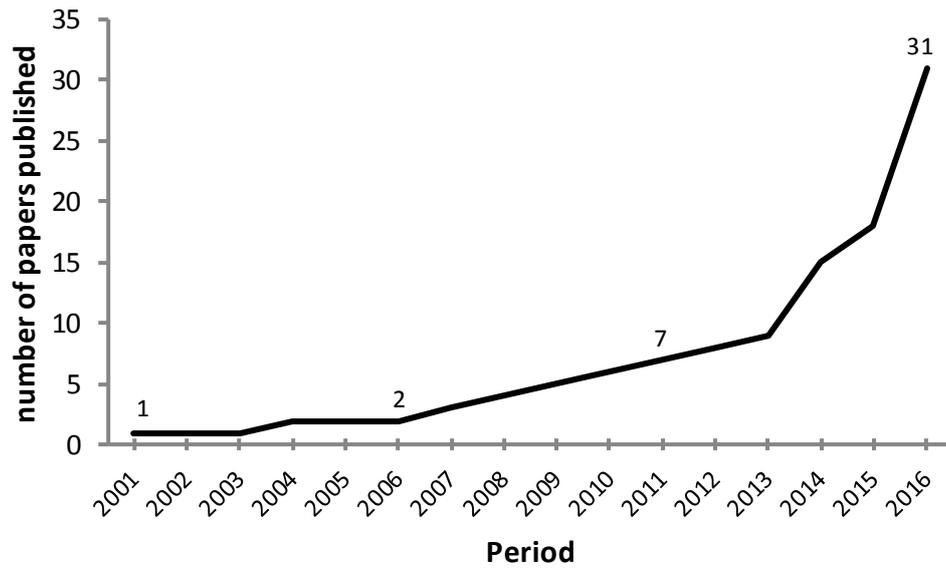


Figure 2. Cumulative number of research papers published per year using fNIRS to record brain cortical activity during walking in healthy adults and people with PD.

Table 1. Search terms and synonyms used for each search field.

Measurement technique	Population	Gait	Dual task
TITLE-ABS-KEY'	TITLE-ABS-KEY'	TITLE-ABS-KEY'	TITLE-ABS-KEY'
"fNIRS"	"young"	"walk*"	"dual*"
"functional near infra*"	"healthy"	"gait"	"cognit*"
	"old*"	"locomot*"	"memory"
	"elder*"	"ambul*"	"pre-frontal*"
	"neurolog*"	"cue"	"prefrontal*"
	"Parkinson*"	"obstac*"	

'*' indicates a wildcard and 'TITLE-ABS-KEY' indicates a title, abstract and keyword search

Table 2. Characteristics and key findings of the studies.

Studies	Participants	Surface and tasks	fNIRS device and cortical areas	Key findings for cortical activation
Atsumori et al., 2010	YA (n = 6)	Overground: Motor DT (holding Vs. balancing a ball on a card)	Custom built system (5 Hz) 22 channels: PFC	Increased dorsolateral and rostral PFC activity was observed during the motor DT compared to the baseline condition.
Beurskens et al., 2014	YA (n = 15) OA (n = 10)	Treadmill: UW, motor DT, cognitive DT (different task difficulties)	DYNOT Imaging System (1.8 Hz) 14 channels: PFC	Little change of PFC activity from single- to DT walking was observed in YA. In OA, however, prefrontal activity substantially decreased during DT walking with a complex visual task.
Clark et al., 2014a	OA (n = 14)	Treadmill and overground: UW, cognitive DT (barefoot, textured insoles, normal shoes)	Niro 200NX 2 channels: PFC	Increased PFC activity was observed during treadmill walking (relative to overground), unaffected by DT on the treadmill, increased during DT overground, and reduced during overground walking with textured insoles.
Clark et al., 2014b	OA (n = 16)	Overground: UW, motor DT, cognitive DT, obstacle (weighted vest and diminished lighting)	Niro 200NX (2 Hz) 2 channels: PFC	Increased PFC activity was observed during complex walking tasks relative to the control task. A larger increase in prefrontal activity was found to be linked to preserved quality of gait during complex walking tasks.
Eggenberger et al., 2016	OA (n = 33)	Treadmill: UW (different speeds and pre vs. post 8-week exercise intervention)	Oxiplex TS Tissue Spectrometer (1Hz) Number of channels not reported: PFC	Reduced PFC activity was observed for the acceleration phase of preferred walking following an exercise intervention (exergame/dance and balance). A larger difference between cortical activation of left and right PFC was found following exercise intervention.
Fraser et al., 2016	YA (n = 19) OA (n = 14)	Treadmill: UW, cognitive DT (different task difficulties)	CW6 TechEn 14 channels: PFC	Increased PFC activity was observed for both groups during DT walking compared to UW.
Harada et al., 2009	OA (n = 15)	Treadmill: UW (different speeds)	OMM-2001 (5.3 Hz) 42 channels: PFC, SMA, PMC, SMC	Increased PFC and SMA activity was observed at the highest speed and the change in the PFC activity was greater in subjects with low gait capacity. The amount of SMC and SMA activity was correlated with gait speed and cadence.
Hernandez et al., 2016	OA (n = 8) Patients with multiple Sclerosis (n=8)	Overground: UW, cognitive DT	fNIR Imager 1000 16 channels: PFC	Increased PFC activity was observed for WWT compared to UW in both groups.
Hill et al., 2013	YA (n = 12)	Overground: UW, cognitive DT (different task difficulties)	fNIR Imager 1000 16 channels: PFC	Increased PFC activity was observed in the difficult condition compared to the easy counting condition. The difference in PFC activity between load conditions was slightly more pronounced in the left hemisphere than in the right.
Holtzer et al., 2011	YA (n = 11) OA (n = 11)	Overground: UW, cognitive DT	Custom built system (2 Hz) 16 channels: PFC	Increased PFC activity was observed during WWT compared with UW, with the young adults demonstrating a greater DT related increase in PFC activity compared with the older adults.
Holtzer et al., 2015	OA (n = 348)	Overground: UW, cognitive DT	fNIR Imager 1000 (2 Hz) 16 channels: PFC	Increased PFC activity was observed for WWT compared with UW, and men demonstrated increased activity than women. Increased PFC activity was related to greater stride length and better cognitive performance but not to faster gait velocity in WWT.
Holtzer et al., 2016	OA: - no NGA (n = 167) - central NGA (n = 29) - peripheral NGA (n = 40)	Overground: UW, cognitive DT	fNIR Imager 1000 (2 Hz) 16 channels: PFC	Increased PFC activity was observed for WWT compared with UW. Higher PFC activity during WWT was related to better cognitive but slower gait velocity among healthy OA.

Holtzer et al., 2017a	OA (n = 318)	Overground: UW, cognitive DT	fNIR Imager 1000 (2 Hz) 16 channels: PFC	Increased PFC activity was observed for WWT compared to UW, which was attributed to high stress levels, particularly in men.
Holtzer et al., 2017b	OA (n = 314)	Overground: UW, cognitive DT	fNIR Imager 1000 (2 Hz) 16 channels: PFC	Increased PFC activity was observed for WWT compared to UW, but not when compared to Alpha. Further increase in PFC activity was observed for WWT compared to UW in those with higher perceived fatigue.
Kim et al., 2016	YA (n = 14)	Treadmill: UW (different speeds and robot assisted walking)	LABNIRS 31 channels: PFC, SMA, PMC, SMC, SAC	Increased SMC, PMC and SMA activity was observed during stepping, treadmill and robot assisted walking for the majority of the participants. Three subjects had individual differences in activation of PFC, SMA, SMC, PMC and SAC dependent upon the walking condition.
Koenraadt et al., 2014	YA (n = 11)	Treadmill: UW, precision stepping	OxyMon (25 Hz) 9 channels: PFC, SMA, M1, S1	Increased PFC activity was observed during the first half of the task period for precision stepping. The SMA, M1, and S1 revealed no significant differences between UW and precision stepping.
Kurz et al., 2012	YA (n = 13)	Treadmill: UW, backward walking	ETG-4000 (10 Hz) 24 channels: SMA, M1, S1, SPL	Increased SMA, M1 and SPL activity was observed when participants walked backwards relative to UW. The amount of variation in the stride-time intervals during UW was positively correlated with the maximum activity response in SMA and M1.
Lin and Lin, 2016	YA (n = 24)	Overground: UW, cognitive DT, obstacle (wide and narrow roads)	PortaLite (50 Hz) 4 channels (right): PFC	Reduced PFC activity was observed during DT walking than UW. Compared to wide and obstacle conditions, walking on the narrow road was found to elicit a smaller decrement in PFC activity.
Lu et al., 2015	YA (n = 17)	Overground: UW, motor DT, cognitive DT	NIRSport (7.8 Hz) 14 channels: PFC, SMA, PMC	No change in cortical activity during UW compared to rest. Left PFC activity largely increased during cognitive DT; motor DT was associated with minor increases in PFC activity. Increased activity in SMA and PMC was observed during both motor and cognitive DT; this increased activity correlated with declines in gait.
Maidan et al., 2015	OA (n = 11) PPD (n = 11; UPDRS-III = 42.8 ± 9.3; disease duration = 9.2 ± 5.5 years)	Overground: UW + turning (anticipated and unanticipated)	OxyMon 6 channels: PFC	Increased PFC activity was observed during anticipated turns before and during FOG. PFC activity did not increase during unanticipated turns before or during FOG. PFC activity decreased during turns without FOG. In healthy OA, PFC activity did not change during turns.
Maidan et al., 2016	OA (n = 38) PPD (n = 68; UPDRS-III = 32.9 ± 1.7; disease duration = 9.1 ± 0.7 years)	Overground: UW, cognitive DT, obstacle	PortaLite (10 Hz) 6 channels: PFC	Increased PFC activity was observed for people with PD during UW. During DT, PFC activity increased only in healthy OA. During obstacle negotiation, PFC activity increased in patients with PD and tended to increase in healthy OA.
Meester et al., 2014	YA (n = 17)	Treadmill: UW, cognitive DT (different speeds)	OxyMon (10 Hz) 8 channels: PFC	Increased PFC activity was observed for walking tasks compared to rest, and increased with DT compared to a single task but was unaffected by increased walking speed.
Metzger et al., 2017	YA (n = 12)	Treadmill: UW, cognitive DT (different speeds)	ETG-4000 Optical Topography System (10 Hz) 48 channels: PFC, SMA, PMC, M1, S1	Increased cortical activity was observed in PFC and middle temporal gyrus when performing a DT while walking, compared to slow and fast walking. Increased PFC activity was found during fast walking compared to slow walking.

Mihara et al., 2007	Combined YA and OA (n = 11) Patients with stroke (n = 12)	Treadmill: UW (acceleration and steady phase)	OMM-3000 28 channels: PFC, SMA, SMC	Increased cortical activity was observed in PFC, SMA and SMC during the acceleration phase. PFC activity tended to be attenuated during the steady phase of the gait period only in healthy participants.
Mirelman et al., 2014	YA (n = 23)	Overground: UW, cognitive DT (different task difficulties)	PortaLite (10 Hz) 6 channels: PFC	No difference in PFC activity between UW and standing. Compared to UW, PFC activity increased during walking and counting forward (+1), and increased even more during the walking and subtracting 7s condition.
Miyai et al., 2001	YA (n = 8)	Treadmill: UW	OMM-2001 30 channels: SMA, PMC, SMC, SPL	Increased SMC and SMA activity was observed during treadmill walking. Increase in activity started approximately 2 s before the task period.
Nieuwhof et al., 2016	PPD (n = 14; disease duration = 5.7 ± 3.3 years)	Overground: cognitive DT (different task difficulties)	PortaLite (10 Hz) 6 channels: PFC	Increased PFC activity was observed when walking while performing DT (subtracting serial 7s and digit span) compared to rest. Eleven participants had individual differences in PFC activity during the different dual task paradigms.
Osofundiya et al., 2016	OA (non-obese) (n = 10) OA (obese) (n=10)	Overground: UW, cognitive DT, precision stepping	NIRO 200 NX (5 Hz) 2 channels: PFC	Increased PFC activity was observed when walking with a DT or precision walking tasks compared to quiet standing or simple walking.
Suzuki et al., 2004	YA (n = 9)	Treadmill: UW, running (different speeds)	OMM-2001 (5.3 Hz) 42 channels: PFC, PMC, SMC	Increased activity in PFC, PMC and SMC was observed during the acceleration periods of walking and running. The changes were greater at the higher locomotor speed in PFC and PMC, but there were less speed-associated changes in SMC. After reaching constant speed, activity levels decreased and tended to return to the baseline.
Suzuki et al., 2008	YA (n = 7)	Treadmill: UW (anticipated or not by verbal instruction 'ready')	OMM-2001 (5 Hz) 42 channels: PFC, SMA, PMC, SMC	Increased PFC, SMA, SMC and PMC activity was observed during the acceleration phase of UW (relative to standing). These changes were increased in the prepared walking as compared with UW. Activity levels during UW tended to decrease after reaching steady speed.
Verghese et al., 2017	OA (n = 166)	Overground: UW, cognitive DT	fNIRS Imager 1000 16 channels: PFC	Higher levels of PFC activity when walking while performing a DT predicted falls.

[Acronyms: Participants – NGA, neurological gait abnormalities; OA, older adults (aged ≥50 years); PPD, people with Parkinson's disease; UPDRS-III, Unified Parkinson Disease Rating Scale motor section; YA, young adults (aged <50 years); **Tasks** – DT, dual-task; UW, usual walking; WWT, walking while talking; **Cortical areas** – M1, primary motor cortex; PFC, prefrontal cortex; PMC, premotor cortex; SAC, sensory association cortex; SMA, supplementary motor area; SMC, sensorimotor cortex; SPL, superior parietal lobule; S1, primary somatosensory cortex. FOG, freezing of gait]

Table 3. Signal processing techniques applied by the studies.

Studies	Task blocks	General data processing	Baseline condition, interoptode distance and outcomes
Atsumori et al., 2010	Two sets of alternating task and control walking were performed (each set included five repetitions of walking for 20s for the task and six for the control condition).	Linear trend of the baseline was removed from task signals. No filters described.	Baseline: walking while holding a ball. Interoptode distance: 30mm. fNIRS outcome: HbO ₂ and HbR (mean value over task period).
Beurskens et al., 2014	Two repetitions of walking for 30s were completed for each condition.	Artefacts were removed using a moving standard deviation and spline interpolation. Signals were filtered with pre-colouring method correcting for temporal correlations. Physiological noise was removed using the wavelet-minimum description length de-trending algorithm.	Baseline: sitting in a chair. Interoptode distance: 22mm and 25mm. fNIRS outcome: HbO ₂ and HbR (mean value over task period).
Clark et al., 2014a	Overground: five consecutive laps around a 20m circuit; treadmill: 60-120s of walking.	None described.	Baseline: walking with normal shoes. Interoptode distance: 30mm. fNIRS outcome: TOI (mean value over task period).
Clark et al., 2014b	Walking five consecutive laps around an 18m circuit.	None described.	Baseline: standing still. Interoptode distance: 30mm. fNIRS outcome: TOI (mean value over task period).
Eggenberger et al., 2016	Eight blocks of a 30s walking period were completed at preferred and fast pace, with 30s of rest in between.	Movement artefacts (>2.5 and <2.5µM HbO ₂) were removed using visual inspection and blocks were averaged to minimise bias from Mayer waves. Signals were detrended and transformed by subtracting a 60s moving average.	Baseline: 1min of very slow walking (0.2km/hr) Interoptode distance: four different distances (not specified). fNIRS outcome: HbO ₂ (mean value over task period).
Fraser et al., 2016	Six blocks of 120s walking for each condition.	None described.	Baseline: 5s standing still. Interoptode distance: 28mm. fNIRS outcome: HbO ₂ (mean value over task period).
Harada et al., 2009	Three repetitions of a 60s walking period were completed at different walking speeds.	None described.	Baseline: standing still. Interoptode distance: 30mm. fNIRS outcome: HbO ₂ (mean value over task period).
Hernandez et al., 2016	Walking for three consecutive loops around a 4 x 14ft walkway, with six straight walks and five left-sided turns.	Data visually inspected and removed if saturation or dark current conditions were identified. Signals were low-pass filtered with a cut-off frequency at 0.14 Hz.	Baseline: standing still. Interoptode distance: 25mm. fNIRS outcome: HbO ₂ (mean value over task period).
Hill et al., 2013	Fifteen repetitions of walking along a 25ft walkway were performed for each condition.	Physiological noise was removed with a low-pass filter (threshold not stated). A sliding window motion artefact rejection routine was also applied.	Baseline: standing still. Interoptode distance: none described. fNIRS outcome: HbO ₂ (mean value over task period).
Holtzer et al., 2011	Six repetitions of walking along a 15ft walkway were performed for each condition.	Signals were low-pass filtered with a cut-off frequency at 0.14 Hz. A combined independent component analysis/principal component analysis was used to remove noise and signal drifts.	Baseline: standing still. Interoptode distance: 25mm. fNIRS outcome: HbO ₂ (maximal value).

Holtzer et al., 2015	Walking for three consecutive loops around a 4 x 14ft walkway, with six straight walks and five left-sided turns.	Signals were low-pass filtered with a cut-off frequency at 0.14 Hz.	Baseline: standing still. Interoptode distance: 25mm. fNIRS outcome: HbO ₂ (mean value over task period).
Holtzer et al., 2016	Walking for three consecutive loops around a 4 x 14ft walkway, with six straight walks and five left-sided turns.	Signals were low-pass filtered with a cut-off frequency at 0.14 Hz.	Baseline: standing still. Interoptode distance: 25mm. fNIRS outcome: HbO ₂ (mean value over task period).
Holtzer et al., 2017a	Walking for three consecutive loops around a 4 x 14ft walkway, with six straight walks and five left-sided turns.	Signals were low-pass filtered with a cut-off frequency at 0.14 Hz.	Baseline: standing still. Interoptode distance: 25mm. fNIRS outcome: HbO ₂ (mean value over task period).
Holtzer et al., 2017b	Walking for three consecutive loops around a 4 x 14ft walkway, with six straight walks and five left-sided turns.	Signals were low-pass filtered with a cut-off frequency at 0.14 Hz.	Baseline: standing still. Interoptode distance: 25mm. fNIRS outcome: HbO ₂ (mean value over task period).
Kim et al., 2016	Five randomised blocks of 30s walking followed by 30s rest for stepping and walking. Three blocks of 60s robot walking followed by 60s rest.	Gaussian smoothing with a full width at half max of 2s. Movement artefacts were removed using the wavelet-minimum description length de-trending algorithm.	Baseline: resting without moving. Interoptode distance: 30mm. fNIRS outcome: HbO ₂ (mean value over task period).
Koenraadt et al., 2014	Ten repetitions of 35s were performed for each condition.	A bandpass filter (0.01 Hz – 1.25 Hz) was applied to the signals. Short separation channels were used to remove haemodynamic changes in superficial tissue layers. An additional low-pass filter with a cut-off frequency of 1 Hz was applied. The changes were normalised by dividing the change for each individual by the maximum change for that individual.	Baseline: standing still. Interoptode distance: 10mm and 30mm. fNIRS outcome: HbO ₂ and HbR (mean value over task period).
Kurz et al., 2012	Two sessions with five repetitions of 30s of walking were performed for each condition.	Data were filtered with a 0.01 Hz high-pass filter and a 5.0s moving average filter. Principal component analyses were applied to reduce physiological noise.	Baseline: standing still. Interoptode distance: 30mm. fNIRS outcome: HbO ₂ (maximal value) and HbR (minimum value).
Lin and Lin, 2016	For each condition, participants walked along a 20m walkway for 60s.	Data were filtered with a low-pass filter with a cut-off frequency of 0.2 Hz.	Baseline: standing still. Interoptode distance: 40mm. fNIRS outcome: HbO ₂ (mean, 10 th and 90 th percentiles and range)
Lu et al., 2015	Three repetitions of a 60s walking periods along a 5.5m walkway were completed.	Signals were bandpass-filtered (0.01 Hz - 0.2 Hz). Motion artefacts were removed through principal component analysis and spike rejection.	Baseline: standing still. Interoptode distance: 30mm. fNIRS outcome: haemoglobin differential (= HbO ₂ - HbR).
Maidan et al., 2015	Participants walked 20m, turned 180° and walked in the opposite direction. Only intervals of 6s of consecutive walking and 180° turns were included for analyses.	Signals were low pass filtered with a cut-off frequency at 0.14 Hz.	Baseline: walking 6 s before a freezing of gait event. Interoptode distance: 35mm. fNIRS outcome: HbO ₂ (mean value over task period).
Maidan et al., 2016	Five repetitions of walking along a 30m walkway for 30s were performed for each condition.	Data were bandpass filtered (0.01 Hz to 0.14 Hz). A wavelet filter and correlation based signal improvement were applied to remove motion artefact.	Baseline: standing still. Interoptode distance: 30, 35, and 40mm.

Meester et al., 2014	Five repetitions of walking for 30s were performed for each condition.	Signals were filtered with a low pass filter set at 0.67 Hz. A moving average filter with a width of 4 s was used to smooth the signal.	fNIRS outcome: HbO ₂ (mean value over task period). Baseline: standing still. Interoptode distance: 30mm.
Metzger et al., 2017	Four randomised blocks of 10s rest, 45s walking (3km/hr), 15s rest, 45s walking (5km/hr), 15s rest, 45s DT walking, 15s rest.	Channels with large movement or technical artefacts removed. Smaller artefacts corrected with Correlation Based Signal Improvement (CBSI) method. Signals were low-pass filtered with a 5s moving average filter.	fNIRS outcome: HbO ₂ and HbR (mean value over task period). Baseline: standing still; 10s at beginning of each block. Interoptode distance: 30mm. fNIRS outcome: HbO ₂ (maximal value) and HbR (minimum value).
Mihara et al., 2007	Three repetitions of walking for 60s were performed.	None described.	Baseline: standing still. Interoptode distance: 30mm. fNIRS outcome: HbO ₂ (mean value over task period).
Mirelman et al., 2014	Five repetitions of walking along a 30m walkway were performed for each condition.	Signals were low-pass filtered with a cut-off frequency at 0.14 Hz.	Baseline: standing still. Interoptode distance: none described. fNIRS outcome: HbO ₂ (mean value over task period).
Miyai et al., 2001	Five repetitions of walking for 30s were performed.	Signals were analysed with SPM99 (Statistical Parametric Mapping software; Wellcome Department of Cognitive Neurology, London, UK).	Baseline: standing or sitting still. Interoptode distance: 30mm. fNIRS outcome: HbO ₂ and HbR (mean value over task period).
Nieuwhof et al., 2016	Five repetitions of five blocks of alternating standing still (rest) for 20s, walking with DT for 40s. 1-2min rest at end of each block.	Moving standard deviation based artefact removal (moving artefact reduction algorithm: MARA) with threshold of 0.45 for HbO ₂ and 0.18 for HbR. Signals were linearly de-trended and low-pass filtered at 0.1Hz.	Baseline: standing still. Interoptode distance: 30, 35, and 40 mm. fNIRS outcome: HbO ₂ and HbR (mean value over task period).
Osofundiya et al., 2016	Two blocks of four 30s walking trials with 10s rest between each condition.	None described.	Baseline: Relative to zero. Interoptode distance: Fpz to Fp3/Fp4. fNIRS outcome: HbO ₂ (maximal value).
Suzuki et al., 2004	Three repetitions of walking for 90s were performed for each condition.	Signals were analysed with SPM99 (Statistical Parametric Mapping software; Wellcome Department of Cognitive Neurology, London, UK).	Baseline: standing still. Interoptode distance: 30mm. fNIRS outcome: HbO ₂ (mean value over task period).
Suzuki et al., 2008	Four repetitions of walking for 30-40s were performed for each condition.	Signals were analysed with SPM99 (Statistical Parametric Mapping software; Wellcome Department of Cognitive Neurology, London, UK).	Baseline: standing still. Interoptode distance: 30mm. fNIRS outcome: HbO ₂ and HbR (mean value over task period).
Verghese et al., 2017	Walking for three consecutive loops around a 4 x 14ft walkway, with six straight walks and five left-sided turns.	Signals were low pass filtered with a cut-off frequency at 0.14 Hz.	Baseline: standing still. Interoptode distance: none described. fNIRS outcome: HbO ₂ (mean value over task period).

Acronyms: HbO₂, oxygenated haemoglobin; HbR, de-oxygenated haemoglobin; TOI, tissue oxygenation index