Paper published in:

D. Gallipoli, A.W. Bruno, F. D'Onza, C. Mancuso (2015).

A bounding surface hysteretic water retention model for deformable soils.

Géotechnique, 65(10): 793–804

<http://www.icevirtuallibrary.com/doi/full/10.1680/jgeot.14.P.118>

A BOUNDING SURFACE HYSTERETIC WATER RETENTION MODEL FOR DEFORMABLE SOILS

Domenico Gallipoli¹, Agostino Walter Bruno², Francesca D'Onza³, Claudio Mancuso⁴

¹ Professor, Laboratoire SIAME, Université de Pau et des Pays de l'Adour, Anglet, France, email: domenico.gallipoli@univ-pau.fr

² PhD student, Laboratoire SIAME, Université de Pau et des Pays de l'Adour, Anglet, France, email: agostinowalter.bruno@univ-pau.fr

³ Researcher, ENEA, Italian National Agency for new technologies, energy and sustainable economic development, Portici, Italy, email: francesca.donza@enea.it

⁴ Professor, Dipartimento di Ingegneria Civile, Edile e Ambientale, Università di Napoli Federico II, Napoli, Italy, email: mancuso@unina.it

DATE OF SUBMISSION: 2/5/2015

NUMBER OF WORDS: 5846

NUMBER OF TABLES: 2

NUMBER OF FIGURES: 12

CORRESPONDING AUTHOR: Prof Domenico Gallipoli
Université de Pau et des Pays de l'Adour
Laboratoire SIAME - Bâtiment ISABTP
Allée du Parc Montauray
64600 Anglet
France
e-mail: domenico.gallipoli@univ-pau.fr

ABSTRACT: The paper presents a soil water retention model that takes into account the effects of void ratio and hydraulic hysteresis on the variation of degree of saturation. Based on a modified form of van Genuchten equation, the model defines two bounding surfaces, i.e. a main drying surface and a main wetting surface, which delimit the region of admissible soil states in the space of degree of saturation, suction and void ratio. Suction and void ratio are then combined into a single auxiliary variable, named scaled suction, and the main surfaces are recast as main curves in the plane of degree of saturation and scaled suction. The effects of both suction and void ratio on the drying/wetting behaviour of the soil are simply incorporated by relating degree of saturation to scaled suction. The soil is dried when the scaled suction is increased and is wetted when the scaled suction is decreased. The model assumes that, inside the region of admissible soil states, the derivative of degree of saturation with respect to the scaled suction depends on the distance of the soil state from the main curves. This assumption ensures a smooth transition of the drying and wetting paths towards their respective main curves. Interestingly, the derivative of degree of saturation with respect to scaled suction can be integrated in a closed form and all wetting and drying paths can therefore be described by two explicit equations (one for drying paths and one for wetting paths), where different wetting or drying paths are characterized by different values of the integration constant. The integration of the model in a closed form facilitates its implementation into numerical codes. The model requires seven parameters, whose values can be obtained from a single drying-wetting test. Predictions are validated against two different data sets published in the literature, which shows the capability of the model to capture the behaviour observed during laboratory tests on fine grained soils.

KEYWORDS: soil water retention, soil water characteristic curve, unsaturated soils, partial saturation, suction, constitutive relations, bounding surface

INTRODUCTION

Understanding water retention in soils is essential for many applications in agriculture and engineering, from irrigation to groundwater pumping, from the design of superficial foundations to the study underground gas/liquid flow. A number of authors have proposed a variety of soil water retention laws, ranging from simple curves relating the degree of saturation to suction (e.g. Brooks & Corey, 1964; Van Genuchten, 1980; Fredlund & Xing, 1994), to more complex models where water retention is influenced by soil deformation through the dependency of degree of saturation on void ratio (e.g. Gallipoli et al., 2003; Sun et al., 2008; Mašín, 2010; Salager et al., 2010). In a limited number of models, the effect of deformation on water retention has been introduced by taking into account the change of the entire pore size distribution rather than void ratio alone (e.g. Hu et al., 2013; Russell, 2014). Finally, other authors have improved the description of soil water retention by incorporating the influence of hydraulic hysteresis (e.g. Wheeler et al., 2003; Li, 2005; Khalili et al., 2008; Nuth & Laloui, 2008; Tarantino, 2009; Pedroso & Williams, 2010; Gallipoli, 2012; Zhou et al., 2012; Tsiampousi et al., 2013).

This paper presents a water retention model that takes into account the effects of both soil deformation and hydraulic hysteresis on the variation of degree of saturation with suction. Similar to Gallipoli (2012), the model is based on the definition of two bounding surfaces, i.e. a “main drying surface” and a “main wetting surface”, which delimit the region of admissible soil states in the space of degree of saturation, suction and void ratio. However, unlike Gallipoli (2012), the model combines void ratio and suction into a single variable, named “scaled suction”, so that the main surfaces can be recast as main curves delimiting the region of admissible soil states in the plane of degree of saturation and scaled suction. Inside this region, the derivative of degree of saturation with respect to scaled suction is assumed to depend on the distance of the current soil state from the main curves, which ensures a smooth transition of all drying and wetting paths towards their respective main curves. An important advantage is that the derivative of degree of saturation with respect to scaled suction can be integrated in a closed form, so that all wetting

and drying paths can be described by two explicit equations (one for drying paths and one for wetting paths), with distinct wetting or drying paths characterized by different values of the integration constant. The description of the hysteretic water retention behaviour of the soil by means of closed form equations facilitates the implementation of the model into numerical codes. The model requires seven parameters, all with clear physical meanings, whose values can be obtained from a single drying-wetting test. In the second part of the paper, the model is calibrated and validated on the basis of two different laboratory data sets published in the literature, showing a good capability to capture the behaviour of fine grained soils.

FORMULATION OF MAIN SURFACES

The water retention equation of van Genuchten (1980) has been widely used to describe the relationship between degree of saturation S_r and suction s in soils:

$$S_r = (1 + (s \alpha)^n)^{-m} \quad (1)$$

where α , m and n are soil parameters.

Gallipoli et al. (2003) presented a modified form of equation (1) that takes into account the dependency of degree of saturation not only on suction but also on deformation. This was achieved by expressing the parameter α of equation (1) as a power function of void ratio e :

$$\alpha = \phi e^\psi \quad (2a)$$

where ϕ and ψ are soil parameters. To simplify the geometrical interpretation of model parameters in the following part of the paper, we here replace the parameter ϕ with its reciprocal $\omega = \frac{1}{\phi}$ so that equation (2a) is rewritten as:

$$\alpha = \frac{e^\psi}{\omega} \quad (2b)$$

While the retention equation of van Genuchten (1980) describes a curve in the $S_r - s$ plane, the retention equation of Gallipoli et al. (2003) describes a surface in the $S_r - s - e$ space as:

$$S_r = \left(1 + \left(s \frac{e^\psi}{\omega} \right)^n \right)^{-m} \quad (3)$$

Accordingly, the number of parameters increases from three (m , n and α), in the model of van Genuchten (1980), to four (m , n , ω and ψ), in the model of Gallipoli et al. (2003).

Gallipoli (2012) extended the model of Gallipoli et al. (2003) by incorporating hydraulic hysteresis via the definition of two main retention surfaces, i.e. a main drying surface and a main wetting surface, which delimit the region of attainable soil states in the $S_r - s - e$ space and are both described by equations (3) but with different parameter values:

$$S_r = \left(1 + \left(s \frac{e^{\psi_i}}{\omega_i} \right)^{n_i} \right)^{-m_i} \quad (4a)$$

In equations (4a), the parameter subscript “i” is equal to either “d” or “w” depending on whether the equation refers to a main drying or a main wetting surface. A total of eight parameters are therefore needed to describe the main hysteretic behaviour: four parameters for the main drying surface (m_d , n_d , ω_d and ψ_d) and four parameters for the main wetting surface (m_w , n_w , ω_w and ψ_w).

As shown by Gallipoli (2012), the retention surface of equation (4a) can be recast in the $\log S_r - \log s - \log e$ space as:

$$\log S_r = -m_i n_i (\log s - \log \omega_i) - m_i n_i \psi_i \log e - m_i \log \left(1 + \left(\frac{\omega_i}{s e^{\psi_i}} \right)^{n_i} \right) \quad (4b)$$

The cross sections of the main drying and wetting surfaces at constant e , are named “main isochoric desiccation curves” and “main isochoric soaking curves”, respectively. Similarly, the cross sections of the main drying and wetting surfaces at constant s , are named “main isosuction swelling curves” and “main isosuction compression curves”, respectively.

Inspection of equation (4b) indicates that:

1. in a $\log S_r - \log s$ plane at constant e , the main isochoric desiccation and soaking curves tend, as s grows large, towards their respective linear asymptotes with slopes $\lambda_{si} = m_i n_i$ (see Fig. 1);
2. in a $\log S_r - \log e$ plane at constant s , the main isosuction swelling and compression curves tend, as e grows large, towards their respective linear asymptotes with slopes $\lambda_{ei} = m_i n_i \psi_i$ (see Fig. 2);
3. in the $\log S_r - \log s$ plane at constant $e = 1$, the intercepts of the linear asymptotes of the main isochoric desiccation and soaking curves with the $\log S_r = 0$ axis are equal to $\log \omega_i$ (see Fig. 3);
4. by recalling equation (4a), the last term of equation (4b), i.e. $m_i \log \left(1 + \left(\frac{\omega_i}{s e^{\psi_i}} \right)^{n_i} \right)$, can be rewritten as $m_i \log \left(1 + \frac{1}{S_r^{-\frac{1}{m_i} - 1}} \right)$, where only the degree of saturation and the parameter m_i appear.

Based on the above observations, the main retention surfaces of equations (4a) and (4b) are rewritten below in terms of parameters m_i , ω_i , λ_{si} and λ_{ei} , instead of parameters m_i , n_i , ω_i and ψ_i . This is preferable

given the clearer geometrical/physical interpretation of the former set of parameters compared to the latter one.

$$S_r = \left(1 + \left(s \frac{e^{\frac{\lambda_{ei}}{\lambda_{si}}}}{\omega_i} \right)^{\frac{\lambda_{si}}{m_i}} \right)^{-m_i} \quad (5a)$$

$$\log S_r = -\lambda_{si}(\log s - \log \omega_i) - \lambda_{ei} \log e - m_i \log \left(1 + \frac{1}{S_r^{\frac{1}{m_i} - 1}} \right) \quad (5b)$$

As before, in equations (5a) and (5b), the subscript “i” is equal to “d” for the main drying surface and to “w” for the main wetting surface.

PARAMETERS FOR THE MAIN RETENTION SURFACES

In this section, we show that, based on experimental evidence, the number of parameters governing the main hysteretic behaviour can be reduced from eight to six and a number of restrictions can also be placed on the range of parameter values.

Several authors (Salager et al., 2013; Casini et al., 2012; Romero et al., 2011; Tarantino, 2009) have observed that the main isochoric desiccation and soaking curves, when expressed in terms of water ratio $e_w = S_r e$ (or, equivalently, in terms of water content $w = \frac{S_r e}{G_s}$) instead of degree of saturation, become independent of void ratio at high suction levels (see Fig. 4). In other words, the curves relating e_w and s at a constant e tend to merge into a unique relationship when suction becomes large (see Fig. 4). This is because the soil attains a given void ratio through the deformation of its larger pores, which are drained/filled at low suctions, while the smaller pores, which are drained/filled at high suctions, tend to

remain undeformed. Therefore, two different suction (or pore) ranges can be identified for each main isochoric desiccation or soaking curve:

- a) A low suction range corresponding to the drainage/flooding of the larger “deformed” pores.
Given that these pores have previously experienced some degree of deformation, this part of the curve depends on the current value of void ratio.
- b) A high suction range corresponding to the drainage/flooding of the smaller “virgin” pores.
Given that these pores have not yet experienced any deformation, this part of the curve is independent of the current value of void ratio.

The upper limit of the low suction range corresponds to the size of the smallest deformed pore, while the lower limit of the high suction range corresponds to the size of the largest virgin (i.e. undeformed) pore. By assuming a continuous pore size distribution, these two pore sizes coincide, which means that the upper limit of the low suction range and the lower limit of the high suction range also coincide at a “transition” value of suction. This value of suction, which marks the transition from drainage/flooding of the (larger) deformed pores to drainage/flooding of the (smaller) virgin pores, becomes bigger as the void ratio decreases due to the fact that increasingly smaller pores are being affected by deformation. Because of this, distinct isochoric desiccation or soaking curves at a different value of void ratio should have a different value of transition suction.

As demonstrated by Gallipoli (2012), if parameter λ_{ei} is set equal to one in equation (5), the predicted relationship between e_w and s becomes independent of void ratio at high suction levels, which is in agreement with the above experimental evidence. Fig. 5 shows typical e_w versus s isochoric desiccation and soaking curves predicted by equation (5) with $\lambda_{ei} = 1$ for different values of void ratio. When suction grows large, these isochoric desiccation and soaking curves tend towards the drying and wetting

asymptotes (here referred to as “virgin drying line” and “virgin wetting line” because they describe the drying/flooding of the virgin, undeformed pores). Consistent with experimental evidence, the predicted relationship between e_w and s in Fig. 5 becomes independent of void ratio at high suction levels and also shows increasing values of transition suction as the void ratio decreases.

After setting λ_{ei} equal to one for both drying and wetting surfaces, the number of independent parameters governing the main retention behaviour of the soil reduces from eight to six (namely three parameters for the main drying surface $m_d, \omega_d, \lambda_{sd}$ and three parameters for the main wetting surface $m_w, \omega_w, \lambda_{sw}$) and equations (5a) and (5b) are therefore rewritten as:

$$S_r = \left(1 + \left(s \frac{e^{\frac{1}{\lambda_{si}}}}{\omega_i} \right)^{\frac{\lambda_{si}}{m_i}} \right)^{-m_i} \quad (6a)$$

$$\log S_r = -\lambda_{si}(\log s - \log \omega_i) - \log e - m_i \log \left(1 + \frac{1}{S_r^{\frac{1}{m_i} - 1}} \right) \quad (6b)$$

Note that, when $s \rightarrow \infty$ or $e \rightarrow \infty$ (i.e. when $S_r \rightarrow 0$), the last term of equation (6b) vanishes and the main retention surface tends towards a planar asymptote, $\log \vec{S}_r$:

$$\log \vec{S}_r = -\lambda_{si}(\log s - \log \omega_i) - \log e \quad (7)$$

The deviation, $\Delta \log S_r$ of the main retention surface from this planar asymptote is therefore:

$$\Delta \log S_r = \log S_r - \log \vec{S}_r = -m_i \log \left(1 + \frac{1}{S_r^{\frac{1}{m_i} - 1}} \right) \quad (8)$$

Inspection of equations (7) and (8) indicates that, while the two parameters ω_i and λ_{si} control the intercept and slope of the log-linear asymptotes of the isochoric desiccation and soaking curves, the parameter m_i describes the progressive deviation of these two curves from their respective asymptotes as degree of saturation increases (see Fig.6).

The values of the above six parameters must also satisfy the following restrictions:

- $\lambda_{sd} > 0$ and $\lambda_{sw} > 0$ (9)

Positiveness of λ_{si} is necessary to ensure that the degree of saturation decreases monotonically with increasing suction (see equation (5) in Gallipoli, 2012);

- $\omega_d > 0$ and $\omega_w > 0$ (10)

Positiveness of ω_i is necessary to ensure that the term $s \frac{e^{\frac{1}{\lambda_{si}}}}{\omega_i}$ in equation (6a) is also positive (recall that s and e are both positive). This term has to be positive because it is the argument of a fractional power with exponent $\frac{\lambda_{si}}{m_i}$,

- $m_d > 0$ and $m_w > 0$ (11)

Positiveness of m_i is necessary to ensure that the calculated value of degree of saturation S_r is

always comprised between zero and one. This is because the term $1 + \left(s \frac{e^{\frac{1}{\lambda_{si}}}}{\omega_i} \right)^{\frac{\lambda_{si}}{m_i}}$ in equation

(6a) ranges between one and infinity and is the argument of a power with exponent $-m_i$. This

exponent must always be negative (m_i must, hence, be always positive) in order for the degree of saturation to be bound between zero and one.

- $\frac{m_w}{m_d} \geq \frac{\lambda_{sw}}{\lambda_{sd}} \geq 1$ (12a)

This restriction is introduced because, for any pair of S_r and e , the suction on the main drying surface must always be greater than the suction on the main wetting surface. In particular, this must be true when $S_r \rightarrow 0$ and when $S_r \rightarrow 1$, which leads to the restriction on parameter values of equation (12a) (see Appendix A for a proof). If any of the two relationships in equation (12a) is verified with the equal sign, the following additional restriction must be verified over the relevant range of e (see Appendix A for a proof):

- $\frac{\omega_w}{\omega_d} \leq \left(\frac{\lambda_{sw}}{\lambda_{sd}} \right)^{\frac{m_w}{\lambda_{sw}}} e^{-\frac{\lambda_{sw}-\lambda_{sd}}{\lambda_{sw} \lambda_{sd}}}$ (12b)

BOUNDING SURFACE RETENTION MODEL

This section presents a bounding surface retention model capable of predicting the variation of degree of saturation along generic wetting and drying paths over the region of admissible soil states delimited by the two main retention surfaces.

We first introduce the auxiliary variable $\bar{s} = s e^{\frac{1}{\lambda_{si}}}$, which we name scaled suction (see also Tarantino, 2009). By using the scaled suction $\bar{s}$, we recast the three-dimensional main drying and main wetting surfaces of equation (6a) into two-dimensional main drying and wetting “scaled curves” in the $S_r - \bar{s}$ plane:

$$S_r = \left(1 + \left(\frac{\bar{s}}{\omega_i} \right)^{\frac{\lambda_{si}}{m_i}} \right)^{-m_i} \quad (13)$$

Drying paths are then simply defined as paths where the value of the scaled suction $\bar{s}$ increases, while wetting paths are defined as paths where the value of the scaled suction $\bar{s}$ decreases. Another important consequence of formulating the model in terms of scaled suction is that the two parameters λ_{sd} and λ_{sw} must now be identical in order to ensure the continuity of the stress path at the reversal point of a drying-wetting cycle in the $S_r - \bar{s}$ plane. In fact, if the two parameters λ_{sd} and λ_{sw} are not identical, the value of scaled suction $\bar{s}$ corresponding to a given pair of e and s is no longer unique but depends on whether the soil is assumed to be on a drying path, in which case $\bar{s} = s e^{\frac{1}{\lambda_{sd}}}$, or on a wetting path, in which case $\bar{s} = s e^{\frac{1}{\lambda_{sw}}}$. In order to avoid this problem, we pose $\lambda_{sd} = \lambda_{sw} = \lambda_s$. A consequence of this is that the parameter restrictions of equations (9) to (12) can now be rewritten in a simpler form as:

$$\bullet \quad \lambda_s > 0 \quad (14)$$

$$\bullet \quad m_w \geq m_d > 0 \quad (15)$$

$$\bullet \quad \omega_d \geq \omega_w > 0 \quad (16)$$

The derivatives of the main scaled curves are obtained by differentiating equation (13):

$$\frac{dS_r}{d\bar{s}} = -\frac{\lambda_s}{\bar{s}} S_r^{1+\frac{1}{m_i}} \left(S_r^{-\frac{1}{m_i}} - 1 \right) \quad (17)$$

while the value of scaled suction $\bar{s}_i$ corresponding to a given value of S_r on the main scaled curves is obtained by inverting equation (13) as:

$$\bar{s}_i = \omega_i \left(S_r^{-\frac{1}{m_i}} - 1 \right)^{\frac{m_i}{\lambda_s}} \quad (18)$$

As before, the subscript “i” in equations (13)-(18) is equal to either “d” or “w” depending on whether a main drying or a main wetting scaled curve is being considered.

Drying surfaces

Following Zhou et al. (2012), we assume that inside the region of admissible soil states in the $S_r - \bar{s}$ plane, the derivative $\left(\frac{dS_r}{d\bar{s}} \right)_d$ of a generic drying scaled curve is proportional to the derivative $\left(\frac{dS_r}{d\bar{s}_d} \right)_{Md}$ of the main drying scaled curve at the same value of S_r as:

$$\left(\frac{dS_r}{d \log \bar{s}} \right)_d = \left(\frac{\bar{s}}{\bar{s}_d} \right)^{\beta_d} \left(\frac{dS_r}{d \log \bar{s}_d} \right)_{Md} \Rightarrow \left(\frac{dS_r}{d\bar{s}} \right)_d = \frac{\bar{s}^{\beta_d-1}}{\bar{s}_d^{\beta_d-1}} \left(\frac{dS_r}{d\bar{s}_d} \right)_{Md} \quad (19)$$

Inspection of equation (19) indicates that the proportionality factor between the two derivatives is a power function (with exponent β_d) of the ratio between the current value of scaled suction, $\bar{s}$, and the “image” value of scaled suction, $\bar{s}_d$. The image value of scaled suction is the value of scaled suction on the main drying scaled curve corresponding to the current value of S_r and is given by equation (18). The ratio $\frac{\bar{s}}{\bar{s}_d}$ is therefore always smaller than one and tends towards one as suction increases and the drying path approaches the main drying scaled curve. So, according to equation (19), the slope of a generic drying scaled curve increases progressively towards the slope of the main drying scaled curve as the scaled suction increases. This, in turn, means that a generic drying scaled curve tends asymptotically towards the main drying scaled curve as scaled suction grows large.

In equation (19) we substitute equation (17) for $\left(\frac{dS_r}{d\bar{s}}\right)_{Md}$ and equation (18) for $\bar{s}_d$, which yields the following expression for the derivative of a generic drying scaled curve:

$$\left(\frac{dS_r}{d\bar{s}}\right)_d = -\frac{\lambda_s}{\omega_d^\beta} \bar{s}^{\beta_d-1} S_r^{1+\frac{1}{m_d}} \left(S_r^{-\frac{1}{m_d}} - 1\right)^{1-\frac{\beta_d m_d}{\lambda_s}} \quad (20)$$

Very interestingly, equation (20) can be integrated in a closed form to give:

$$(S_r)_d = \left(1 + \left(\frac{\bar{s}^{\beta_d} + C_d}{\omega_d^{\beta_d}}\right)^{\frac{\lambda_s}{\beta_d m_d}}\right)^{-m_d} \quad (21)$$

where C_d is a constant of integration greater than or equal to zero (see Appendix B), which must be calculated by imposing a suitable boundary condition, i.e. by imposing that the drying scaled curve of equation (21) passes through a known soil state in the $S_r - \bar{s}$ plane. For example, the value of C_d can be calculated to match the initial soil state by substituting the initial values of degree of saturation and scaled suction in equation (21) (or, equivalently, in equation (B1) of Appendix B), where the initial value of scaled suction is, of course, obtained from the initial values of suction and void ratio, i.e. $\bar{s} = s e^{\frac{1}{\lambda_s}}$.

By substituting the definition of scaled suction $\bar{s} = s e^{\frac{1}{\lambda_s}}$ inside equation (21), we obtain the following expression for the generic drying surface in the $S_r - s - e$ space:

$$(S_r)_d = \left(1 + \left(\frac{\left(s e^{\frac{1}{\lambda_s}}\right)^{\beta_d} + C_d}{\omega_d^{\beta_d}}\right)^{\frac{\lambda_s}{\beta_d m_d}}\right)^{-m_d} \quad (22)$$

Equation (22) describes all drying paths inside the region delimited by the two main surfaces, including the drying paths that take place on the main drying surface itself (indeed, equation (22) reduces to the main drying surface of equation (6a) when C_d is equal to zero). A single equation is therefore needed to describe all drying paths, each path being identified by a different value of the constant C_d .

Wetting surfaces

As for the drying case, we assume that the derivative $\left(\frac{dS_r}{d\bar{s}}\right)_w$ of a generic wetting scaled curve inside the region of admissible soil states is proportional to the derivative $\left(\frac{dS_r}{d\bar{s}_w}\right)_{Mw}$ of the main wetting scaled curve at the same value of S_r :

$$\left(\frac{dS_r}{d\log \bar{s}}\right)_w = \left(\frac{\bar{s}_w}{\bar{s}}\right)^{\beta_w} \left(\frac{dS_r}{d\log \bar{s}_w}\right)_{Mw} \Rightarrow \left(\frac{dS_r}{d\bar{s}}\right)_w = \frac{\bar{s}_w^{\beta_w+1}}{\bar{s}^{\beta_w+1}} \left(\frac{dS_r}{d\bar{s}_w}\right)_{Mw} \quad (23)$$

In this case, the proportionality factor linking the two derivatives in equation (23) is a power function of the ratio between the image value of scaled suction $\bar{s}_w$ and the current value of scaled suction $\bar{s}$ (instead of being the ratio between the current value of scaled suction and the image value of scaled suction as in the drying case). The image value $\bar{s}_w$ is calculated on the main wetting scaled curve in correspondence of the current value of S_r and is given by equation (18). According to equation (23), when the scaled suction decreases and the soil state approaches the main wetting scaled curve, the slope of the wetting path increases towards the slope of the main wetting scaled curve. This means that, as in the drying case, the soil path tends asymptotically towards the main wetting scaled curve when scaled suction is reduced.

By substituting equations (17) and (18) into equation (23), we obtain the following expression for the derivative of the generic wetting scaled curve:

$$\left(\frac{dS_r}{d\bar{s}}\right)_w = -\frac{\lambda_s \omega_w^{\beta_w}}{\bar{s}^{\beta_w+1}} S_r^{1+\frac{1}{m_w}} \left(S_r^{-\frac{1}{m_w}} - 1\right)^{1+\frac{\beta_w m_w}{\lambda_s}} \quad (24)$$

Remarkably, equation (24) can also be integrated in a closed form to give:

$$(S_r)_w = \left(1 + \left(\frac{\bar{s}^{\beta_w}}{\omega_w^{\beta_w} (1 + C_w \bar{s}^{\beta_w})}\right)^{\frac{\lambda_s}{\beta_w m_w}}\right)^{-m_w} \quad (25)$$

where C_w is a constant of integration greater than or equal to zero (see Appendix B). The value of C_w is obtained by imposing a suitable boundary condition, e.g. it can be calculated from the initial soil state by substituting the initial values of degree of saturation and scaled suction in equation (25) (or, equivalently, in equation (B3) of Appendix B).

By substituting the definition of scaled suction $\bar{s} = s e^{\frac{1}{\lambda_s}}$ inside equation (25), we obtain the following expression for the generic wetting surface in the $S_r - s - e$ space:

$$(S_r)_w = \left(1 + \left(\frac{\left(s e^{\frac{1}{\lambda_s}}\right)^{\beta_w}}{\omega_w^{\beta_w} \left(1 + C_w \left(s e^{\frac{1}{\lambda_s}}\right)^{\beta_w}\right)}\right)^{\frac{\lambda_s}{\beta_w m_w}}\right)^{-m_w} \quad (26)$$

As for the drying case, equation (26) describes all wetting paths in the region of admissible states and reduces to the main surface of equation (6a) when C_w is equal to zero. Equation (26) is the only equation

needed to describe the wetting behaviour of the soil, with each wetting path being characterized by a different value of the constant C_w .

MODEL CALIBRATION AND VALIDATION

Two alternative strategies can be used to calibrate the proposed retention model.

The first strategy may be employed if the experimental data describe with sufficient accuracy the asymptotes of the main retention surfaces of the soil, i.e. if at least part of the experimental data lies sufficiently close to the asymptotes of the main drying and wetting surfaces. In this case, according to equation (7), the parameters ω_i and λ_s are determined as the intercept and slope, respectively, of the asymptotes of the experimental drying and wetting curves presented in terms of $\log e_w$ versus $\log s$ (recall that $\log e_w = \log S_r e = \log S_r + \log e$). Next, the parameter m_i is obtained by fitting equation (8) to the difference $\Delta \log S_r$ between the experimental drying and wetting curves and their respective asymptotes, plotted against experimental values of S_r (asymptotes are calculated by equation (7) using the experimental values of e and s). Finally, the parameter β_i is defined by fitting equations (21) and (25) to drying and wetting paths inside the region between two main curves in the $S_r - \bar{s}$ plane.

The second calibration strategy consists in simply performing a least square regression of equations (21) and (25) to all available data by using a suitable software to simultaneously optimize parameters ω_i , λ_s , m_i and β_i . For each test used during calibration, the integration constant C_i of equation (21) or (25) may be treated as an additional fitting variable. If the test consists of one or more wetting-drying cycles, only the integration constant C_i of the first drying or wetting path is treated as a fitting variable. Instead, the integration constants of the subsequent drying and wetting paths are calculated by imposing the continuity of the soil path at the reversal points of the cycle, i.e. by using equation (B1) and (B3) of Appendix B, respectively.

The first calibration strategy provides a clearer physical interpretation of model parameters, yet the second calibration strategy might lead to better predictions because all parameter values are simultaneously optimized to reproduce soil behaviour. Note that, for both calibration strategies, all parameter values are subjected to the restrictions of equations (14), (15) and (16).

In the following part of this section, model predictions are validated against two different experimental data sets published in the literature. The first data set is taken from Romero and Vaunat (2000), who performed suction controlled tests on statically compacted samples of a moderately swelling clay (20%-30% kaolinite, 20% - 30% illite and 10% - 20% smectite) with a liquid limit of 56% and a plastic limit of 29%. The second data set is taken from Sun et al. (2007), who performed suction controlled tests on statically compacted Pearl Clay (50% silt and 50% clay) with a liquid limit of 49% and a plastic limit of 27%. Both the above data sets refer to fine grained materials and further validation is therefore needed to demonstrate the applicability of the proposed model to coarser soils.

Model predictions are calculated by using standard spreadsheet software (e.g. Microsoft Excel). The soil is assumed to move along a drying path if the value of scaled suction increases, and along a wetting path if the value of scaled suction decreases. The value of scaled suction is calculated from the experimental values of void ratio and suction and is then used to predict the variation of degree of saturation according to the model. The use of the experimental values of void ratio is acceptable in the present context to validate the capabilities of the proposed model. However, in the case of practical applications, experimental values of void ratio are usually unknown and a mechanical law must therefore be introduced for predicting the changes of void ratio during generic stress/suction paths.

DATA BY ROMERO AND VAUNAT (2000)

All parameter values were simultaneously determined by fitting at the same time two isochoric drying-wetting cycles at void ratios of 0.63 and 0.92, respectively (i.e. the second of the above mentioned calibration strategies was used). Fig. 7 shows the two drying-wetting cycles together with the best fit curves. The resulting parameter values are summarised in Table 1, which also gives the values of the integration constant C_i of each drying and wetting path. In each of the two cycles, the integration constant C_d of the initial drying path is treated as an additional independent fitting variable, similar to other model parameters. Instead, the integration constant C_w of the subsequent wetting path is calculated from equation (B3) of Appendix B by imposing the continuity of the soil path at the reversal point of the cycle, and therefore depends on model parameters. Inspection of Table 1 indicates that all integration constants C_i are nearly zero, which confirms that the drying and wetting paths of both cycles lie very close to the main surfaces, in agreement with the findings of Romero and Vaunat (2000).

Model predictions were subsequently validated against two additional tests not used during calibration. The comparisons between predictions and experiments are presented in the $S_r - \log s$ and $S_r - e$ planes.

In the first test (Fig. 8), a low-porosity specimen was subjected to a constant isotropic net stress of 0.085 MPa and wetted from an initial suction of 1.9 MPa to a suction of 0.01 MPa (path A-B). This was followed by a drying-wetting cycle between suctions of 0.01 MPa and 0.45 MPa (path B-C-D) and by another drying path to a suction of 0.20 MPa (path D-E). Finally, the specimen was subjected to an isotropic loading-unloading cycle (path E-F-G) between mean net stresses of 0.085 MPa and 0.8 MPa at a constant suction of 0.20 MPa.

In the second test (Fig. 9), a high-porosity specimen was subjected to oedometric loading with measurement of radial stresses. The specimen was first wetted, under a constant vertical net stress of 0.60 MPa, from an initial suction of 1.9 MPa to a suction of 0.01 MPa (path A-B). This was followed by a drying-wetting cycle between suctions of 0.45 MPa and 0.01 MPa (path B-C-D) and a drying path to a suction of 0.20 MPa (path D-E). The last part of the test consisted in an oedometric loading-unloading-reloading cycle at a constant suction of 0.20 MPa (E-F-G-H).

In the simulations, the value of the constant of integration C_w of the first wetting path was obtained from equation (B3) of Appendix B by imposing that the start point of the predicted curve coincides with the start point of the experimental curve. The constants of integrations C_i of the subsequent drying or wetting paths were instead calculated from equations (B1) or (B3) by imposing the continuity of the soil path at the reversal points of the cycle. Inspection of Figs. 8 and 9 indicates that the model is capable of adequately representing experimental behaviour, including the scanning behaviour between main surfaces. Yet, one aspect of the model that requires further refinement relates to the effect of deformation on degree of saturation. This is evident in the simulations of the loading-unloading cycles of Figs. 8 and 9, where changes of degree of saturation are underestimated by the model, especially during the first loading. Indeed, as it will be shown in the next section, the model accurately captures the variation of degree of saturation during main compression at constant suction but reproduces less well the effect of deformation on degree of saturation along soil paths between the main surfaces.

DATA BY SUN ET AL. (2007)

Also in this case parameter values were determined according to the second calibration strategy by fitting a single test in which a specimen with an initial void ratio of 1.78 was subjected to two consecutive drying-wetting cycles under a constant isotropic net stress of 0.020 MPa. Fig. 10 presents the experimental data

together with the best fit curve computed by the model in the $S_r - s$ plane, while Table 2 summarizes the corresponding parameter values and integration constants. Note that, unlike the previous calibration based on data by Romero and Vaunat (2000), in this case the void ratio is not constant during the test and therefore the curves shown in Fig. 10 are not isochoric drying and wetting curves.

As for the data by Romero and Vaunat (2000), the integration constant C_d of the initial drying path is treated as an independent fitting variable while the integration constants C_i of the subsequent wetting and drying paths are calculated from equations (B1) and (B3) by imposing the continuity of the soil path at reversal points. In Table 2, the constants of integration C_d of the two drying paths are relatively large suggesting that these paths start from a point that is relatively distant from the main drying surface and converge towards the main drying surface only when suction grows large. Conversely, the constants of integration C_w of the two wetting paths are relatively small, suggesting that both these paths lie close to the main surface at the start of wetting.

Model predictions were subsequently validated against another test not used during calibration (Fig. 11). In this test, a specimen was subjected to a constant net isotropic stress of 0.020 MPa and wetted from a suction of 0.196 MPa to a suction of 0.002 MPa (path A-B) followed by a drying path from 0.002 MPa to 0.490 MPa (path B-C) and finally wetted from 0.490 MPa to 0.002 kPa (path C-D).

As before, the constant of integration C_w of the first wetting path was obtained by imposing that the predicted and experimental curves coincide at the start of the test, while the constants of integrations C_i of the subsequent drying or wetting paths were calculated by imposing the continuity of the soil path at reversal points.

Inspection of Fig. 11 indicates that the model captures reasonably well the experimental behaviour, though the quality of the predictions is worse than in the case of Romero and Vaunat (2000). In both the first and second wetting paths, the model overestimates degree of saturation at low suctions. In particular, as suction tends to zero, the experimental value of degree of saturation stays at a level slightly smaller than one while the model predicts full saturation. This might be due to air being trapped into the sample during the wetting branch of the test, a phenomenon that might occur also in full-scale problems. Note also that the two tests used for calibration and validation, respectively, cover different ranges of void ratios (1.11 to 1.78 for the calibration test and 1.03 to 1.09 for the validation test). This is different from the case of Romero and Vaunat (2000), where the range of void ratio was approximately the same for both calibration and validation tests (the two calibration tests were performed at constant void ratios of 0.63 and 0.92, respectively, while, in the validation tests, the void ratio varied between 0.58 to 0.88).

Finally, Sun et al. (2007) performed four triaxial tests at a constant suction of 0.147 MPa, namely two isotropic compression tests, where specimens with initial void ratios of 1.40 and 1.24 were loaded up to net stresses of 0.40 MPa and 0.60 MPa, respectively, and two triaxial tests, where specimens with initial void ratios of 1.34 and 1.65 were isotropically compressed up to 0.20 MPa and then sheared at constant mean net stress. Fig. 12 presents the results from these four tests and shows that, as the void ratio reduces, the degree of saturation increases along the same straight line in the $\log S_r - \log e$ plane. This linear response indicates that all four specimens lie, at the start of the test, close to the log-linear asymptotic plane of the main wetting surface. The degree of saturation subsequently increases along the same main isosuction compression curve at a constant suction of 0.147 MPa while remaining near the log-linear asymptote. Fig. 12 also shows that the data points can be reasonably interpolated by a line with slope $\frac{d \log S_r}{d \log e} = -1$, which supports the earlier assumption of $\lambda_{ei} = 1$ in equation (5).

It is interesting to note that, in the calibration test of Fig. 10, the slopes of the two wetting paths are less well predicted than those of the two drying paths, which might also affect calculation of water flow in coupled analyses (e.g. Wong et al., 1998). As mentioned earlier, one possible explanation for this behaviour is that the effect of deformation on degree of saturation is less accurately described in the region between the main surfaces (Fig. 10) than near the main surfaces (Fig. 12).

CONCLUSIONS

The paper presents a soil water retention model which takes into account the effects of both hydraulic hysteresis and pore deformation on the variation of degree of saturation. The model is based on the definition of two bounding surfaces, i.e. a main drying surface and a main wetting surface, which delimit the region of attainable soil states in the space of degree of saturation, suction and void ratio. The two main surfaces are recast as curves in the plane of degree of saturation and scaled suction, where the scaled suction is an auxiliary variable defined in terms of void ratio and suction. Drying paths correspond to an increase of scaled suction while wetting paths correspond to a decrease of scaled suction. The introduction of scaled suction therefore simplifies the definition of drying or wetting paths by taking into account the effects of both suction and void ratio.

Only two equations are required to describe the soil retention behaviour inside the region delimited by the two scaled main curves, one equation for drying paths and one equation for wetting paths. These two equations are obtained by integrating, in a closed form, the corresponding derivatives of degree of saturation with respect to scaled suction. These derivatives are defined to ensure a smooth, asymptotic transition of the drying and wetting paths towards the respective main curves. The integration constants are different for each wetting or drying path and are defined by imposing that the relevant curve passes through a point with known values of degree of saturation and scaled suction. The main advantage of the proposed model is that all wetting and drying paths can be described by closed form equations which

uniquely relate the degree of saturation to the scaled suction and, hence, uniquely relate degree of saturation to suction and void ratio. This feature significantly facilitates the implementation of the model into numerical codes.

The model is formulated in terms of seven independent parameters, whose values can be obtained from a single drying-wetting cycle by using two alternative calibration strategies. All model parameters have a clear physical interpretation and their values are subjected to a number of restrictions to ensure physical consistency. Parameter values have been calibrated on the basis of two different laboratory data sets published in the literature and the resulting predictions have been validated against additional data from the same sets but not used during calibration. The predicted variation of degree of saturation has been calculated by using experimental values of void ratio and suction as input variables to the model. However, in most real boundary value problems, the experimental values of void ratio are unknown and the proposed model must therefore be coupled with a suitable stress-strain law to predict the variation of void ratio during changes of stress and suction.

APPENDIX A

For any given pair of S_r and e , the ratio between the two values of s calculated by equation (6a) on the main drying and wetting surfaces, respectively, must be greater or equal to one. This restriction leads, after some algebraic manipulations, to the following inequality between model parameters, which must be satisfied for any value of S_r and e :

$$\frac{\omega_w}{\omega_d} \leq \frac{\left(1 - S_r^{\frac{1}{m_d}}\right)^{\frac{m_d}{\lambda_{sd}}}}{\left(1 - S_r^{\frac{1}{m_w}}\right)^{\frac{m_w}{\lambda_{sw}}}} (S_r e)^{-\frac{\lambda_{sw} - \lambda_{sd}}{\lambda_{sw} \lambda_{sd}}} \quad (A1)$$

In particular, equation (A1) must be verified at the two extremes of the range of S_r , i.e. for $S_r \rightarrow 0$ and $S_r \rightarrow 1$.

Case of $S_r \rightarrow 0$

When $S_r \rightarrow 0$, equation (A1) is verified for any value of e provided that the exponent of the power term

$(S_r e)^{-\frac{\lambda_{sw}-\lambda_{sd}}{\lambda_{sw}\lambda_{sd}}}$ has negative sign, i.e. provided that $\lambda_{sw} > \lambda_{sd}$ or, in other words, provided that $\frac{\lambda_{sw}}{\lambda_{sd}} > 1$. If

$\frac{\lambda_{sw}}{\lambda_{sd}} = 1$, the exponent of the power term $(S_r e)^{-\frac{\lambda_{sw}-\lambda_{sd}}{\lambda_{sw}\lambda_{sd}}}$ is equal to zero and equation (A1) is satisfied only

if the additional condition $\frac{\omega_w}{\omega_d} \leq 1$ is simultaneously verified. Finally, if $\frac{\lambda_{sw}}{\lambda_{sd}} < 1$, the exponent of the power

term $(S_r e)^{-\frac{\lambda_{sw}-\lambda_{sd}}{\lambda_{sw}\lambda_{sd}}}$ has positive sign and equation (A1) is never satisfied.

Case of $S_r \rightarrow 1$

Let us first pose $S_r = (1 - u)$ which yields the following alternative form of equation (A1) in terms of the auxiliary variable u :

$$\frac{\omega_w}{\omega_d} \leq \frac{\left(1 - (1 - u)^{\frac{1}{m_d}}\right)^{\frac{m_d}{\lambda_{sd}}}}{\left(1 - (1 - u)^{\frac{1}{m_w}}\right)^{\frac{m_w}{\lambda_{sw}}}} \left((1 - u)e\right)^{-\frac{\lambda_{sw}-\lambda_{sd}}{\lambda_{sw}\lambda_{sd}}} \quad (\text{A2})$$

Equation (A2) is now rewritten by replacing the two expressions inside the brackets at the numerator and denominator of the right hand side with a first order Taylor series approximation at $u = 0$:

$$\frac{\omega_w}{\omega_d} \leq \frac{\left(\frac{1}{m_d} u + o(u)\right)^{\frac{m_d}{\lambda_{sd}}}}{\left(\frac{1}{m_w} u + o(u)\right)^{\frac{m_w}{\lambda_{sw}}}} ((1-u)e)^{-\frac{\lambda_{sw}-\lambda_{sd}}{\lambda_{sw} \lambda_{sd}}} \quad (A3)$$

By neglecting the terms of second and higher order in the Taylor series approximation, equation (A3) is rewritten as:

$$\frac{\omega_w}{\omega_d} \leq \frac{\left(\frac{1}{m_d}\right)^{\frac{m_d}{\lambda_{sd}}}}{\left(\frac{1}{m_w}\right)^{\frac{m_w}{\lambda_{sw}}}} u^{\frac{m_d}{\lambda_{sd}} - \frac{m_w}{\lambda_{sw}}} ((1-u)e)^{-\frac{\lambda_{sw}-\lambda_{sd}}{\lambda_{sw} \lambda_{sd}}} \quad (A4)$$

When $S_r \rightarrow 1$, then $u \rightarrow 0^+$ and equation (A4) is satisfied for any value of e provided that the exponent of the power term $u^{\frac{m_d}{\lambda_{sd}} - \frac{m_w}{\lambda_{sw}}}$ is negative, i.e. provided that $\frac{m_w}{\lambda_{sw}} > \frac{m_d}{\lambda_{sd}}$ or, alternatively, $\frac{m_w}{m_d} > \frac{\lambda_{sw}}{\lambda_{sd}}$. If $\frac{m_w}{m_d} = \frac{\lambda_{sw}}{\lambda_{sd}}$,

the exponent of the power term $u^{\frac{m_d}{\lambda_{sd}} - \frac{m_w}{\lambda_{sw}}}$ is equal to zero and equation (A4) is satisfied only if the additional inequality $\frac{\omega_w}{\omega_d} \leq \left(\frac{m_w}{m_d}\right)^{\frac{m_w}{\lambda_{sw}}} e^{-\frac{\lambda_{sw}-\lambda_{sd}}{\lambda_{sw} \lambda_{sd}}}$ is simultaneously verified over the experimental range of e .

Finally, when $\frac{m_w}{m_d} < \frac{\lambda_{sw}}{\lambda_{sd}}$, the exponent of the power term $u^{\frac{m_d}{\lambda_{sd}} - \frac{m_w}{\lambda_{sw}}}$ is positive and equation (A4) is never satisfied.

Consequences for model parameter values

By taking into account both the above cases of $S_r \rightarrow 0$ and $S_r \rightarrow 1$, the following constraint must be imposed on model parameters:

$$\frac{m_w}{m_d} \geq \frac{\lambda_{sw}}{\lambda_{sd}} \geq 1 \quad (A5)$$

If the right hand side relationship of equation (A5) is verified with the equal sign (i.e. $\frac{\lambda_{sw}}{\lambda_{sd}} = 1$), the following additional restriction must also be verified:

$$\frac{\omega_w}{\omega_d} \leq 1 \quad (A6)$$

Similarly, if the left hand side relationship of equation (A5) is verified with the equal sign (i.e. $\frac{m_w}{m_d} = \frac{\lambda_{sw}}{\lambda_{sd}}$), the following additional restriction must be verified over the experimental range of e :

$$\frac{\omega_w}{\omega_d} \leq \left(\frac{\lambda_{sw}}{\lambda_{sd}} \right)^{\frac{m_w}{\lambda_{sw}}} e^{-\frac{\lambda_{sw}-\lambda_{sd}}{\lambda_{sw} \lambda_{sd}}} \quad (A7)$$

Let us now note that, if $\frac{\lambda_{sw}}{\lambda_{sd}} = 1$, equation (A7) reduces to equation (A6). So we can conclude that, if any of the two relationships in equation (A5) is verified with the equal sign, equation (A7) must be fulfilled as an additional condition.

APPENDIX B

The constant of integration C_d is calculated from equation (21) by imposing that the drying scaled curve passes through a known point of coordinates $\{S_r, \bar{s}\}$:

$$C_d = \omega_d^{\beta_d} \left(S_r^{-\frac{1}{m_d}} - 1 \right)^{\frac{\beta_d m_d}{\lambda_s}} - \bar{s}^{\beta_d} \quad (B1)$$

By taking into account equation (18), equation (B1) can be rewritten as:

$$C_d = \bar{s}_d^{\beta_d} - \bar{s}^{\beta_d} \quad (B2)$$

where $\bar{s}_d$ is the image value of scaled suction, i.e. the value of scaled suction on the main drying scaled curve corresponding to the current value of S_r . Given that the image value of scaled suction on the main drying scaled curve is always greater or equal to the current value of scaled suction, the constant C_d in equation (B2) is always greater or equal than zero. In particular, C_d is equal to zero when the current and image values of scaled suction are identical, i.e. when the soil state lies on the main drying scaled curve.

Similarly, the constant of integration C_w is calculated from equation (25) by imposing that the curve passes through a known point of coordinates $\{S_r, \bar{s}\}$:

$$C_w = \frac{1}{\omega_w^{\beta_w}} \left(S_r^{-\frac{1}{m_w}} - 1 \right)^{-\frac{\beta_w m_w}{\lambda_{sw}}} - \frac{1}{\bar{s}^{\beta_w}} \quad (B3)$$

Again, by taking into account equation (18), equation (B3) can be rewritten as::

$$C_w = \frac{1}{\bar{s}_w^{\beta_w}} - \frac{1}{\bar{s}^{\beta_w}} \quad (B4)$$

where $\bar{s}_w$ is the image value of scaled suction this time on the main wetting scaled curve. Given that the image value of scaled suction on the main wetting scaled curve is always smaller or equal to the current

value of scaled suction, the constant C_w in equation (B4) is always greater or equal than zero. In particular, C_w is equal to zero when the soil state lies on the main wetting scaled curve.

REFERENCES

- Barrera, M. (2002). Estudio experimental del comportamiento hidro-mecánico de suelos colapsables. PhD thesis, Universitat Politècnica de Catalunya, Barcelona, Spain - <http://www.tdx.cat/TDX-0604102-095524>.
- Brooks, R.N. and Corey, A.T. (1964). Hydraulic properties of porous media. Colorado State University Hydrology Paper n°3.
- Casini, F., Vaunat, J., Romero, E. and Desideri, A. (2012). Consequences on water retention properties of double-porosity features in a compacted silt. *Acta Geotechnica* 7:139–150 <http://dx.doi.org/10.1007/s11440-012-0159-6>.
- Fredlund, D.G. and Xing, A.Q. (1994). Equations for the soil–water characteristic curve. *Canadian Geotechnical Journal*, 31(4):521-532.
- Gallipoli, D. (2012). A hysteretic soil-water retention model accounting for cyclic variations of suction and void ratio. *Géotechnique* 62(7): 605–616 - <http://dx.doi.org/10.1680/geot.11.P.007>.
- Gallipoli, D., Wheeler, S. and Karstunen, M. (2003). Modelling the variation of degree of saturation in a deformable unsaturated soil. *Géotechnique* 53(1): 105-112 - <http://dx.doi.org/10.1680/geot.2003.53.1.105>
- Hu, R., Chen, Y.-F., Liu, H.-H. and Zhou, C.-B. (2013). A water retention curve and unsaturated hydraulic conductivity model for deformable soils: consideration of the change in pore-size distribution. *Géotechnique*, 63(16): 1389–1405 - <http://dx.doi.org/10.1680/geot.12.P.182>.
- Khalili, N., Habte, M.A. and Zargarbashi, S. (2008). A fully coupled flow deformation model for cyclic analysis of unsaturated soils including hydraulic and mechanical hysteresis. *Computers and Geotechnics* 35(6): 872–889.
- Li, X.S. (2005). Modelling of hysteresis response for arbitrary wetting/drying paths. *Computers and Geotechnics* 32: 133–137.
- Lloret, A., Villar, M.V., Sánchez, M., Gens, A., Pintado, X. and Alonso, E. E. (2003). Mechanical behaviour of heavily compacted bentonite under high suction changes. *Géotechnique* 53(1): 27–40 - <http://dx.doi.org/10.1680/geot.2003.53.1.27>.
- Mašín, D. (2010). Predicting the dependency of a degree of saturation on void ratio and suction using effective stress principle for unsaturated soils. *International Journal for Numerical and Analytical Methods in Geomechanics*, 34: 73-90.
- Nuth, M. and Laloui, L. (2008). Advances in modelling hysteretic water retention curve in deformable soils. *Computers and Geotechnics* 35(6): 835-844.
- Pedroso, D.M. and Williams, D.J. (2010). A novel approach for modelling soil–water characteristic curves with hysteresis. *Computers and Geotechnics* 37(3): 374-380.
- Romero, E., Della Vecchia, G. and Jommi, C. (2011). An insight into the water retention properties of compacted clayey soils. *Géotechnique* 61(4):313–328 – <http://dx.doi.org/10.1680/geot.2011.61.4.313>.

- Romero, E. and Vaunat, J. (2000). Retention curve of deformable clays. In Proceedings of the international workshop on unsaturated soils, Trento, Italy, 91–106, Balkema, Rotterdam.
- Russell, A.R. (2014). How water retention in fractal soils depends on particle and pore sizes, shapes, volumes and surface areas. *Géotechnique*, 64(5): 379–390 - <http://dx.doi.org/10.1680/geot.13.P.165>.
- Salager, S., El Yousoufi, M.S. and Saix, C. (2010). Definition and experimental determination of a soil-water retention surface. *Canadian Geotechnical Journal*, 47:609-622. <http://dx.doi.org/10.1139/T09-123>.
- Salager, S., Nuth, M., Ferrari, A. and Laloui, L. (2013). Investigation into water retention behaviour of deformable soils. *Canadian Geotechnical Journal*, 50: 200–208 - <http://dx.doi.org/10.1139/cgj-2011-0409>.
- Sun, D.A., Sheng, D.C., Cui, H.B., and Sloan, S.W. (2007). A density-dependent elastoplastic hydro-mechanical model for unsaturated compacted soils. *International Journal for Numerical and Analytical Methods in Geomechanics*, 31: 1257-1279.
- Sun, D.A., Sheng, D., Xiang, L. and Sloan, S.W. (2008). Elastoplastic prediction of hydro-mechanical behaviour of unsaturated soils under undrained conditions. *Computers and Geotechnics* 35(6): 845-852.
- Tarantino, A. (2009). A water retention model for deformable soils. *Géotechnique* 59(9): 751–762 - <http://dx.doi.org/10.1680/geot.7.00118>.
- Tsiampousi, A., Zdravković, L. and Potts, D.M. (2013). A three-dimensional hysteretic soil-water retention curve. *Géotechnique* 63(2): 155–164 - <http://dx.doi.org/10.1680/geot.11.P.074>.
- Van Genuchten, M. T. (1980). A closed-form equation for predicting the hydraulic conductivity of unsaturated soil. *Soil Science Society American Journal*, 44: 892-898.
- Wheeler, S.J., Sharma, R.S. and Buisson, M.S.R. (2003). Coupling of hydraulic hysteresis and stress–strain behaviour in unsaturated soils. *Géotechnique* 53(1): 41-54 - <http://dx.doi.org/10.1680/geot.2003.53.1.41>.
- Wong, T. T., Fredlund, D. G., and Krahn, J. (1998). A numerical study of coupled consolidation in unsaturated soils. *Canadian Geotechnical Journal*, 35: 926–937.
- Zhou, A. N., Sheng, D., Sloan, S. W., and Gens, A. (2012). Interpretation of unsaturated soil behaviour in the stress-saturation space, I: Volume change and water retention behaviours. *Computers and Geotechnics*, 43: 178-187.

LIST OF TABLES AND RELATIVE CAPTIONS

DRYING PATHS		WETTING PATHS	
Parameter values			
m_d	0.238	m_w	0.625
$\lambda_{sd} = \lambda_s$	0.413	$\lambda_{sw} = \lambda_s$	0.413
ω_d	0.751 MPa	ω_w	0.383 MPa
β_d	0.832	β_w	1.38
Integration constant values			
C_d for $e=0.92$ (independent fitting parameter)	0.328	C_w for $e=0.92$ (dependent parameter)	0.0012
C_d for $e=0.63$ (independent fitting parameter)	0.0077	C_w for $e=0.63$ (dependent parameter)	0.0039

Table 1. Parameter values for Romero and Vaunat (2000)

DRYING PATHS		WETTING PATHS	
Parameter values			
m_d	0.382	m_w	0.913
$\lambda_{sd} = \lambda_s$	0.894	$\lambda_{sw} = \lambda_s$	0.894
ω_d	0.159 MPa	ω_w	0.092 MPa
β_d	2.97	β_w	2.01
Integration constant values			
C_d (independent fitting parameter)	6.23×10^7	C_w (dependent parameter)	3.64×10^{-6}
C_d (dependent parameter)	4.28×10^5	C_w (dependent parameter)	1.45×10^{-5}

Table 2. Parameter values for Sun et al. (2007)

LIST OF FIGURES AND RELATIVE CAPTIONS

Figure 1. Slopes and intercepts of the asymptotes of the main isochoric desiccation and soaking curves in the $\log S_r - \log s$ plane.

Figure 2. Slopes and intercepts of the asymptotes of the main isosuction swelling and compression curves in the $\log S_r - \log e$ plane.

Figure 3. Slopes and intercepts of the asymptotes of the main isochoric desiccation and soaking curves in the $\log S_r - \log s$ plane at $e = 1$.

Figure 4. (a) Drying paths on a clayey silt at different void ratios (after Salager et al., 2013); (b) wetting paths on Boom Clay, Febex bentonite and Barcelona clayey silt at different void ratios (after Romero et al., 2011).

Figure 5. Typical curves of water ratio e_w versus suction s predicted by the model during drying and wetting at different constant values of void ratio (after Gallipoli, 2012).

Figure 6. Deviation of the isochoric desiccation and soaking curves from their respective asymptotes.

Figure 7. Model calibration through best-fitting of drying-wetting cycles at constant void ratio of (a) 0.63 and (b) 0.92 (experimental data from Romero and Vaunat, 2000).

Figure 8. Comparison between computed and experimental behaviour during isotropic test on low-porosity specimen in (a) $S_r - \log s$ plane and (b) $S_r - e$ plane (experimental data from Romero and Vaunat, 2000).

Figure 9. Comparison between computed and experimental behaviour during oedometric test on high-porosity specimen in (a) $S_r - \log s$ plane and (b) $S_r - e$ plane (experimental data from Romero and Vaunat, 2000).

Figure 10. Model calibration through best-fitting of drying-wetting cycles at constant isotropic net stress of 0.020 MPa (experimental data from Sun et al., 2007).

Figure 11. Comparison between computed and experimental behaviour during wetting-drying cycles at constant isotropic net stress of 0.020 MPa in (a) $S_r - \log s$ plane and (b) $S_r - e$ plane (experimental data from Sun et al., 2007).

Figure 12. Isotropic compression and triaxial shearing at constant suction of 0.147 MPa (experimental data from Sun et al., 2007).

NOTATION

β_d	parameter governing drying between main surfaces
β_w	parameter governing wetting between main surfaces
C_d	constant of integration during drying paths
C_w	constant of integration during wetting paths
e	void ratio
e_w	water ratio
G_s	specific gravity of solids
$\lambda_{sd}, \lambda_{ed}$	asymptotic slopes of main drying surface
$\lambda_{sw}, \lambda_{ew}$	asymptotic slopes of main wetting surface
m, n, α	parameters of water retention curve in van Genuchten (1980) model
m_d, λ_s, ω_d	parameters of main drying surface
m_w, λ_s, ω_w	parameters of main wetting surface
m, n, ϕ, ψ	parameters of water retention surface in Gallipoli et al. (2003) model
$m_d, n_d, \omega_d, \psi_d$	parameters of main drying surface in Gallipoli (2012) model
$m_w, n_w, \omega_w, \psi_w$	parameters of main wetting surface in Gallipoli (2012) model
S_r	degree of saturation
s	suction
$\bar{s}$	scaled suction
$\bar{s}_d$	image value of scaled suction during drying paths
$\bar{s}_w$	image value of scaled suction during wetting paths
u	auxiliary variable